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(54) Title: OLIGONUCLEOTIDE AND NUCLEOTIDE AMINE ANALOGS. METHODS OF SYNTHESIS AND USE

(57) Abstract

Oligonucleotide and nucleotide amine analogs and methods of preparing and using these compounds are provided by the present invention.

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# OLIGONUCLEOTIDE AND NUCLEOTIDE AMINE ANALOGS, METHODS OF SYNTHESIS AND USE

### FIELD OF THE INVENTION

This invention relates to novel amine-containing compounds useful for therapeutics and methods of making and using the same.

### BACKGROUND OF THE INVENTION

It is well known that most of the bodily states in mammals including most disease states, are effected by proteins. Such proteins, either acting directly or through their enzymatic functions, contribute in major proportion to many diseases in animals and man. Classical therapeutics has generally focused upon interactions with such proteins in efforts to moderate their disease causing or disease potentiating functions. Recently, however, attempts have been made to moderate the actual production of such proteins by interactions with molecules that direct their synthesis, intracellular RNA. These interactions involved the binding of complementary "antisense" oligonucleotides or their analogs to the transcellular RNA in a sequence specific fashion such as by Watson-Crick base pairing interactions.

The pharmacological activity of antisense compounds, as well as other therapeutics, depends on a number of factors that influence the effective concentration of these agents at specific intracellular targets. One important factor is the ability of antisense compounds to traverse the plasma membrane of specific cells involved in the disease process.

Cellular membranes consist of lipid protein bilayers that are freely permeable to small, nonionic, lipophilic

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compounds and inherently impermeable to most natural metabolites and therapeutic agents. Wilson, Ann. Rev. Biochem. 1978, 47, 933. The biological and antiviral effects of natural and modified oligonucleotides in cultured mammalian cells have 5 been well documented, so it appears that these agents can penetrate membranes to reach their intracellular targets. Uptake of antisense compounds into a variety of mammalian cells, including HL-60, Syrian Hamster fibroblast, U937, L929, CV-1, and ATH8 cells has been studied using natural 10 oligonucleotides and nuclease resistant analogs, such as álkyl triesters, Miller, et al., Biochemistry 1977, 16, 1988; methylphosphonates, Marcus-Sekura, et al., Nuc. Acids Res. 1987, 15, 5749 and Miller, et al., Biochemistry 1981, 20, 1874; and phosphorothioates, Ceruzzi, et al., Nucleosides & 15 Nucleotides 1989, 8, 815; Miller, et al., Biochemistry 1987, 16, 1988; and Loke, et al., Curr. Top. Microbiol. Immunol. 1988, 141, 282.

Enhanced cellular uptake has previously been achieved by attachment of functional groups to the 3' and 5' end of 20 oligonucleotides to enhance cellular uptake in specific cell types. Previous studies have shown that plasmid DNA complexed with an (asialo)glycoprotein-poly(L-lysine) conjugate, could be targeted to hepatocytes, which contain unique cell surface receptors for galactose-terminal (asialo)glycoproteins. Wu, et 25 al., Biochemistry 1988, 27, 887. Other groups have synthesized oligodeoxyribonucleotides that have a 5'-attached alkylating agent and a 3' attached cholesterol moiety and determined that these modified oligonucleotides were taken up into cells more efficiently than control compounds without the steroid moiety. 30 Zon, G. in Oligodeoxynucleotides: Antisense Inhibitors of Gene Expression 234-247, ed. J.S. Cohen (CRC Press, Boca Raton FL, 1989). Letsinger, et al., Proc. Natl. Acad. Sci. U.S.A. 1989, 86, 653, have also synthesized cholesteryl-conjugated phosphorothioates whose anti-HIV activity is significantly 35 greater than natural oligonucleotides with the same sequence. modifications include conjugation Additional of

oligonucleotides to poly(L-lysine) alone. Stevenson, et al., J. Gen. Virol 1989, 70, 2673 and Lemaitre, et al., Proc. Natl. Acad. Sci. U.S.A. 1987, 84, 648. This modification enhanced the antiviral activity of the compound studied presumably due to increased cellular uptake imparted by the polycationic poly(L-lysine).

The conjugation of polyamines to oligonucleotides have been found to enhance cellular uptake of oligonucleotides, increased lipophilicity, cause greater cellular retention and 10 increased distribution of the compound. Vasseur, Nucleosides and Nucleotides 1991, 10, 107 prepared abasic sites at different sites of oligothymidylates by acid hydrolysis. Thereafter the abasic sites were functionalized with functionalities such as 3-amino carbazole, 9-amino elipticine 15 and psoralen. Vasseur, et al., also refer to unpublished results in which the functionalities spermidine and proflavin were employed. The abasic site was generated by one of the following three methods: (i) selective depurination by acid treatment in a pyrimidine-rich oligonucleotide having one site, (ii) incorporating 2',3'-20 purine in a chosen nebularine the 5'-end with the at dideoxynebularine phosphoramidite at the last step of the oligonucleotide synthesis, and subsequent acid treatment (30mM HCl at 37°C) to create an abasic site at 5' end (in this case the open-chain 25 structure is CHO-(CH<sub>2</sub>)<sub>2</sub>-CHOH-CH<sub>2</sub>O-at the 5'end and the conjugate from the amine  $RNH_2$  is  $RNH-(CH_2)_3-CHOH-CH_2-O-Oligo)$ , and (iii) incorporating a protected abasic 2'-deoxy-D-ribofuranose nucleotide synthon that has a photo-labile 0-nitrobenzyl group as the anomeric hydroxyl-protecting group in oligonucleotide 30 synthesis and removing it prior to conjugation.

Groebke and Leumann used a silyl-protecting group at the anomeric center to generate the abasic site. 2'-Deoxy-5-0-dimethoxytrityl-D-ribofluranose was silylated at the 1-0-position using TBDMSCl and the silyl group was removed later by hydrolysis at pH 2.0 to yield the abasic site. Unfortunately, fluoride-ion-mediated deprotection of the silyl group caused a 6-elimination and DNA degradation.

McLaughlin's group has utilized 1-(B--D-2-deoxyribosyl)-2-pyrimidone-based phosphoramidite to generate abasic sites at pH3.0. The N-glycosyl cleavage occured, however, slower in oligonucleotides than in parent nucleosides; nearly 60 hours of acid treatment was necessary to generate 90% abasic site formation. However, conjugation chemistry via enzymatically generated abasic sites are unknown in the literature.

Le Doan, et al., Nucleic Acids Research 1987, 15, 8643 10 teaches oligothymidylates covalently linked to porphyrins at their 3' end via one of the linkers -O-CH2-CO-NH-(CH2)2-NH or PO<sub>4</sub>-(CH<sub>2</sub>)<sub>6</sub>-NH-. Le Doan, et al., also used the linker PO<sub>4</sub>link (CH<sub>2</sub>)<sub>6</sub>-NHporphyrins to to the 5′ end of oligothymidylates. Another group, Summerton, et al., U.S. 15 Patent No. 5,034,506 issued July 23, 1991 teaches morpholino subunits, linked together by uncharged, achiral linkages such as amides. As described in PCT/US91/04086 filed June 10, 1991, polyamines have also been linked at the 5' end of an oligonucleotide at the 5' site of the sugar moiety of the 20 terminal nucleoside and at the 2-position carbon of the heterocyclic base of 2'-deoxyadenosine, 2'-deoxyguanosines and other purines and purine analogs by known procedures as described in PCT/US/91/00243 filed January 11, 1991.

Novel amines and methods of preparing the same are greatly needed in order to enhance cellular uptake of oligonucleotides, increase lipophilicity, cause greater cellular retention and increase distribution of the compound within the cell. The present invention fulfills this need.

#### OBJECTS OF THE INVENTION

It is one object of the present invention to provide novel amine-containing compounds useful in therapeutics.

It is a further object of the present invention to provide methods of producing said novel compounds.

It is another object of the present invention to provide methods of modulating the production of a protein by an organism.

It is still a further object of the present invention to provide methods of treating a mammal suffering from a disease characterized by the undesired production of a protein.

It is yet a further object of the present invention to provide methods of diagnosing the presence of an RNA in a biological sample.

These and other objects will become apparent from the following description and accompanying claims.

### SUMMARY OF THE INVENTION

The present invention provides compounds which may have enhanced efficacy as an antisense-based therapy. Compounds of the present invention can have enhanced cellular uptake, increased lipophilicity, cause greater cellular retention and demonstrate increased distribution. Furthermore the present invention provides simple methods for synthesis of these novel compounds.

In accordance with some embodiments of the present invention, compounds having the structure:

I

wherein R<sub>1</sub> and R<sub>2</sub> are independently H, a nucleotide, oligonucleotide, or an amine-containing species, and at least one of R<sub>1</sub> and R<sub>2</sub> is a purine containing oligonucleotide, R<sub>3</sub> is a linear or cyclic amine-containing species, and X is H, O-R<sub>11</sub>, S-R<sub>11</sub>, F, Cl, Br, CN, CF<sub>3</sub>, OCF<sub>3</sub>, OCN, SOCH<sub>3</sub>, SO<sub>2</sub>CH<sub>3</sub>, ONO<sub>2</sub>, N<sub>3</sub>, HN<sub>2</sub>, heterocycloalkyl, heterocycloalkaryl, aminoalkylamino, polyalkylamino, substituted silyl, a reporter molecule, an RNA cleaving group, a group for improving the pharmacokinetic properties of an oligonucleotide, or a group for improving the pharmacodynamic properties of an oligonucleotide wherein R<sub>11</sub> is H, C<sub>1</sub> to C<sub>10</sub> straight or branched chain lower alkyl or

substituted lower alkyl,  $C_2$  to  $C_{10}$  straight or branched chain lower alkenyl or substituted lower alkenyl,  $C_3$  to  $C_{10}$  straight or branched chain lower alkynyl or substituted lower alkynyl, a <sup>14</sup>C containing lower alkyl, lower alkenyl or lower alkynyl, 5  $C_7$  to  $C_{14}$  substituted or unsubstituted alkyaryl or aralkyl, a <sup>14</sup>C containing  $C_7$  to  $C_{14}$  alkaryl or aralkyl, alicyclic, heterocyclic, a reporter molecule, a RNA cleaving group, a group for improving the pharmacokinetic properties of an oligonucleotide or a group for improving the pharmacodynamic properties of an oligonucleotide, are provided.

In accordance with still other embodiments of the present invention, compounds having the structure:

II

wherein  $R_4$  is an oligonucleotide and M is a pendent group 15 having an amine-containing species attached thereto are provided.

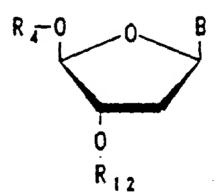
Methods of preparing such compounds utilizing enzymatic reagents are also provided in some aspects of the invention. Thus compounds of Formula I may be prepared by methods comprising the steps of providing a synthon having the structure:

wherein R<sub>1</sub> and R<sub>2</sub> are independently H, a nucleotide, oligonucleotide or amine-containing species, and at least one of R<sub>1</sub> and R<sub>2</sub> is a purine containing oligonucleotide, and X is H, O-R<sub>11</sub>, S-R<sub>11</sub>, F, Cl, Br, CN, CF<sub>3</sub>, OCF<sub>3</sub>, OCN, SOCH<sub>3</sub>, SO<sub>2</sub>CH<sub>3</sub>,

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ONO<sub>2</sub>, HN<sub>2</sub>, heterocylcoalkyl, heterocycloalkaryl,  $N_3$ , aminoalkylamino, polyalkylamino, substituted silyl, a reporter molecule, an RNA cleaving group, a group for improving the pharmacokinetic properties of an oligonucleotide, or a group improving the pharmacodynamic properties oligonucleotide wherein R1, is H, C1 to C10 straight or branched chain lower alkyl or substituted lower alkyl, C2 to C10 straight or branched chain lower alkenyl or substituted lower alkenyl; C3 to C10 straight or branched chain lower alkynyl or 10 substituted lower alkynyl, a 14C containing lower alkyl, lower alkenyl or lower alkynyl, C, to C14 substituted or unsubstituted alkyaryl or aralkyl, a 14C containing C7 to C14 alkaryl or aralkyl, alicyclic, heterocyclic, a reporter molecule, a RNA cleaving group, a group for improving the pharmacokinetic 15 properties of an oligonucleotide or a group for improving the pharmacodynamic properties of an oligonucleotide. Thereafter the synthon is reacted with R3, wherein R3 is a linear or cyclic amine-containing species, under reducing conditions to yield the final product.

Compounds of Formula II may also be prepared enzymatically by providing a starting material having the structure:



wherein  $R_4$  is an oligonucleotide,  $R_{12}$  is an oligonucleotide and B is urea or a heterocyclic base having a corresponding glycosylase and reacting the starting material with an endonuclease to generate a conjugated  $\alpha, \beta$ -unsaturated system in the sugar residue of the 3' terminal nucleotide. Thereafter the compound having a conjugated  $\alpha, \beta$ -unsaturated system is reacted with a pendent group containing a nucleophile functionality thereon. Following addition of the pendent group the double bond of the  $\alpha, \beta$  system is reduced with a reducing agent. An amine-containing species may then be attached to the

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pendent group via an alkylation reaction. Alternatively, an amine-containing species may be attached to a pendent group which is a bifunctional linker.

In accordance with still other embodiments of the 5 present invention compounds having the structure:

III

wherein R<sub>4</sub> is an oligonucleotide, R<sub>5</sub> is a linear or cyclic amine-containing species containing at least one non-amide nitrogen atom, and R<sub>6</sub> is H, a purine heterocycle or a pyrimidine heterocycle, are provided. Methods of preparing compounds of Formula III are also provided in some aspects of the present invention comprising the steps of reacting an oligonucleotide having a 3' ribofuranosyl sugar with an oxidizing agent to produce an activated dialdehyde-terminated oligonucleotide and reacting said activated oligonucleotide with a linear or cyclic amine-containing species under reducing conditions to yield said compound.

In accordance with other aspects of the invention compounds having the structure:

IV

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wherein B is a purine or pyrimidine heterocyclic base,  $R_8$  and  $R_9$  are independently H,  $PO_2$ , a nucleotide, an oligonucleotide or an amine-containing species, and at least one of  $R_8$  and  $R_9$  is a purine containing oligonucleotide, and at least one of  $R_8$ ,  $R_9$  and A is a species comprising the formula  $L_1$ - $L_2$ -polyamine wherein  $L_1$  is an amino linker and  $L_2$  is a heterobifunctional linker; and wherein if  $R_8$  is not a purine containing

oligonucleotide or polyamine species, then  $R_8$  is a nucleotide or  $PO_2$ ; if  $R_9$  is not a purine containing oligonucleotide or polyamine species, then  $R_9$  is H or a nucleotide; and if A is not a polyamine species then A is H or OH are provided.

Therapeutic diagnostic and methods 5 are also encompassed by the present invention. Methods of modulating the production of protein by an organism comprising contacting an organism with a compound having the structure of Formula I, Formula II, Formula III or Formula IV are encompassed by some 10 embodiments of the present invention. In other aspects of the invention, methods of treating an animal having a disease characterized by undesired production of protein comprising contacting an animal with a compound having the structure of Formula I, Formula II, Formula III, or Formula IV in a 15 pharmaceutically acceptable carrier are provided. Still other methods of the present invention provide methods for detecting the presence or absence of an RNA in a biological sample suspected of containing said RNA are provided comprising contacting a sample with a compound having the structure of 20 Formula I, Formula II, Formula III or Formula IV wherein the compound is specifically hybridizable with the RNA and detecting the presence or absence of hybridization of the compound to the sample wherein hybridization is indicative of the presence of RNA in the sample.

### 25 BRIEF DESCRIPTION OF THE FIGURES

Figure 1 is a schematic representation of one preferred syntheses of compounds of Formula I.

Figure 2 is a schematic representation of one preferred syntheses of compounds of Formula II.

Figure 3 is a schematic representation of one preferred syntheses of compounds of Formula III.

## DETAILED DESCRIPTION OF PREFERRED EMBODIMENTS

The present invention provides novel amine compounds useful for antisense therapy. In one embodiment of the present invention compounds having the structure:

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I

wherein R, and R, are independently H, a nucleotide, an oligonucleotide, or an amine-containing species, and at least one of R<sub>1</sub> and R<sub>2</sub> is a purine containing oligonucleotide, R<sub>3</sub> is a linear or cyclic amine-containing species, and X is H, O-R11,  $S-R_{11}$ , F, Cl, Br, CN, CF<sub>3</sub>, OCF<sub>3</sub>, OCN, SOCH<sub>3</sub>, SO<sub>2</sub>CH<sub>3</sub>, ONO<sub>2</sub>, N<sub>3</sub>, HN<sub>2</sub>, heterocylcoalkyl, heterocycloalkaryl, aminoalkylamino, polyalkylamino, substituted silyl, a reporter molecule, an RNA cleaving group, a group for improving the pharmacokinetic 10 properties of an oligonucleotide, or a group for improving the pharmacodynamic properties of an oligonucleotide wherein R11 is H, C, to C10 straight or branched chain lower alkyl or substituted lower alkyl, C2 to C10 straight or branched chain lower alkenyl or substituted lower alkenyl, C3 to C10 straight 15 or branched chain lower alkynyl or substituted lower alkynyl, a "C containing lower alkyl, lower alkenyl or lower alkynyl, C<sub>7</sub> to C<sub>14</sub> substituted or unsubstituted alkyaryl or aralkyl, a <sup>14</sup>C containing C<sub>7</sub> to C<sub>14</sub> alkaryl or aralkyl, alicyclic, heterocyclic, a reporter molecule, a RNA cleaving group, a 20 group for improving the pharmacokinetic properties of an oligonucleotide or a group for improving the pharmacodynamic properties of an oligonucleotide, are provided. In some embodiments of the present invention both R, and R, are oligonucleotides, at least one of which includes at least one 25 purine nucleotide.

In the context of this invention, the term "oligonucleotide" refers to a polynucleotide formed from naturally occurring bases, such as purine and pyrimidine heterocycles, and furanosyl groups joined by native phosphodiester bonds. This term effectively refers to naturally occurring species or synthetic species formed from

naturally occurring subunits or their close homologs. The term "oligonucleotide" may also refer to moieties which have portions similar to naturally occurring oligonucleotides but which have non-naturally occurring portions. 5 oligonucleotides may have altered sugar moieties or inter-sugar linkages. Exemplary among these are the phosphorothicate and other sulfur-containing species which are known for use in the art. In accordance with some preferred embodiments, at least some of the phosphodiester bonds of the oligonucleotide have 10 been substituted with a structure which functions to enhance the stability of the oligonucleotide or the ability of the oligonucleotide to penetrate into the region of cells where the viral RNA is located. It is preferred that such substitutions comprise phosphorothicate bonds, phosphotriesters, methyl 15 phosphonate bonds, short chain alkyl or cycloalkyl structures or short chain heteroatomic or heterocyclic structures. Most preferred are  $CH_2$ -NH-O- $CH_2$ ,  $CH_2$ -N( $CH_3$ )-O- $CH_2$ ,  $CH_2$ -O-N( $CH_3$ )- $CH_2$ , CH2-N(CH3)-N(CH3)-CH2 and O-N(CH3)-CH2-CH2 structures where phosphodiester is O-P-O-CH,). Also preferred are morpholino 20 structures. Summerton, et al., U.S. 5,034,506 issued July 23, In other preferred embodiments, such as the protein-1991. nucleic acid (PNA) backbone, the phosphodiester backbone of the oligonucleotide may be replace with a polyamide backbone, th bases being bound directly or indirectly to the aza nitrogen 25 atoms of the polyamide backbone. see, e.g., Nielsen, et al., Science 1991, 254 1497 and WO 92/20702, published November 26, In accordance with other preferred embodiments, the 1992. phosphodiester bonds are substituted with other structures which are, at once, substantially non-ionic and non-chiral, or 30 with structures which are chiral and enantiomerically specific. Still other linkages include the those disclosed in United States Patent Applications Serial Number 566,836, filed August 13, 1990, entitled Novel Nucleoside Analogs; Serial Number 703,619, filed May 21, 1991, entitled Backbone Modified 35 Oligonucleotide Analogs; Serial Number 903,160, filed June 24, 1992, entitled Heteroatomic Oligonucleoside Linkages; Serial Number PCT/US92/04294, filed May 21, 1992, entitled Backbone

Modified Oligonucleotides; and Serial Number PCT/US92/04305, all assigned to the assignee of this invention. Persons of ordinary skill in the art will be able to select other linkages for use in practice of the invention.

Oligonucleotides may also include species which include at least some modified base forms. Thus, purines and pyrimidines other than those normally found in nature may be so employed. For example, deaza or aza purines and pyrimidines may be used in place of naturally purine or pyrimidine bases 10 and pyrimidine bases having substituent groups at the 5- or 6having altered or positions; purine bases replacement substituent groups at the 2-, 6- or 8- positions are also provided in some aspects of the present invention. Similarly, modifications on the furanosyl portion of the nucleotide 15 subunits may also be effected, as long as the essential tenets of this invention are adhered to. Examples of such modifications are 2'-O-alkyl- and 2'-halogen-substituted nucleotides. Some specific examples of modifications at the 2' position of sugar moieties which are useful in the present invention are OH, SH, SCH3, F, OCN, O(CH2),NH2, O(CH2),CH3 where n is from 1 to about 10; C<sub>1</sub> to C<sub>10</sub> lower alkyl, substituted lower alkyl, alkaryl or aralkyl; Cl, Br, CN, CF3, OCF3, O-, S-, or N- alkyl; O-, S-, or N-alkenyl; SOCH3, SO2CH3; ONO2; NO2; N3; NH2; heterocycloalkyl; heterocycloalkaryl; aminoalkylamino; 25 polyalkylamino; substituted silyl; an RNA cleaving group; a conjugate; a reporter group; an intercalator; a group for improving the pharmacokinetic properties of an oligonucleotide; or a group for improving the pharmacodynamic properties of an oligonucleotide and other substituents similar having 30 properties. Sugar mimetics such as cyclobutyls may also be in place of the pentofuranosyl group. Exemplary modifications are disclosed in United States Patent Applications: Serial Number 463,358, filed January 11, 1990, entitled Compositions And Methods For Detecting And Modulating RNA 35 Activity; Serial Number 566,977, filed August 13, 1990, entitled Sugar Modified Oligonucleotides That Detect And

Modulate Gene Expression; Serial Number 558,663, filed July 27,

1990, entitled Novel Polyamine Conjugated Oligonucleotides: Serial Number 558,806, filed July 27, 1991, entitled Nuclease Resistant Pyrimidine Modified Oligonucleotides That Detect And Modulate Gene Expression; and Serial Number PCT/US91/00243, 5 filed January 11, 1991, entitled Compositions and Methods For Detecting And Modulating RNA Activity; Serial Number 777,670, filed October 15, 1991, entitled Oligonucleotides Having Chiral Phosphorus Linkages; Serial Number 814,961, filed December 24, Modified entitled 1991, Gapped 2' Phosphorothioate 10 Oligonucleotides; Serial Number 808,201, filed December 13, 1991, entitled Cyclobutyl Oligonucleotide Analogs; and Serial 782,374, filed Number 782,374, entitled Derivatized Oligonucleotides Having Improved Uptake & Other Properties, all assigned to the assignee of this invention. The disclosures of 15 all of the above noted patent applications are incorporated herein by reference. Oligonucleotides may also comprise other modifications consistent with the spirit of this invention. Such oligonucleotides are best described as being functionally interchangeable with yet structurally distinct from natural 20 oligonucleotides. All such oligonucleotides are comprehended by this invention so long as they effectively function as subunits in the oligonucleotide. Thus, purine containing oligonucleotide are oligonucleotides comprising at least one purine base or analog thereof. In other embodiments of the 25 present invention compounds of the present invention may be "subunits" of a species comprising two or more compounds of the present invention which together form a single oligonucleotide.

وتوته ودودوه وإياده باراءا

Oligonucleotides of the present invention may be naturally occurring or synthetically produced and may range in length from about 8 to about 50 nucleotides. In more preferred embodiments of the present invention said oligonucleotides may be from 8 to 40 nucleotides in length. Most preferably, oligonucleotides of the present invention may be from 12 to about 20 nucleotides in length.

Amine-containing species according to the invention are aromatic species containing a single nitrogen atom or non-aromatic species containing one or more nitrogen atoms (i.e.,

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polyamine species). Amine-containing species can be linear (including straight-chain and branched) or cyclic. Cyclic amine-containing species can be aromatic or non-aromatic. Representative amine-containing species include amino acids, polypeptides, hydrazide salts of organic acids, including one or more of reporter groups, alkylating agents, intercalating agents, cell receptor binding molecules, steroids, peptides, crown amines, porphyrins, cross-linking agents, peptide nucleic acids (PNA) and PEG (polyethylene glycol containing)-amines attached to at least one of the nitrogen atoms of said amine-containing species.

Polyamine species according to the invention are those that contain a plurality of nitrogen atoms. Polyamines include primary amines, hydrazines, semicarbazines, thiosemicarbazines and similar nitrogenous species. Such species can be symmetrical species such as polyamine-containing polymers or they can be unsymmetrical wherein the amine functionalities of the polyamine are separated in space by different moieties. In addition to carbon atoms other atomic species such as nitrogen and sulfur may also be incorporated into the polyamine species. In some preferred embodiments of the invention, at least one nitrogen atom of the polyamine has a free electron pair.

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Preferred as polyamine species are species that range in length from about 3 to about 20 units. More preferably species having at least one nitrogen atom have the general formula  $H_2N\{(CH_2)_nNH\}_m$ — wherein n is an integer between 2 and 8 and m is an integer between 1 and 10. These species can be linear or cyclic. Cyclic amines would include crown amines ("cyclams") and mixed crown amines/crown ethers.

Other suitable amine-containing species according to the invention include  $C_1-C_{20}$  straight chain alkylamine,  $C_1-C_{20}$  straight chain substituted alkylamine,  $C_2-C_{50}$  branched chain alkylamine,  $C_2-C_{50}$  branched chain substituted alkylamine,  $C_3-C_{50}$  cyclic alkylamine,  $C_3-C_{50}$  cyclic substituted alkylamine,  $C_2-C_{20}$  straight chain alkenylamine,  $C_2-C_{20}$  straight chain substituted alkenylamine,  $C_3-C_{50}$  branched chain alkenylamine,  $C_3-C_{50}$  branched chain substituted alkenylamine,  $C_3-C_{50}$  cyclic alkenylamine,  $C_3-C_{50}$ 

 $C_{50}$  cyclic substituted alkenylamine,  $C_2$ - $C_{20}$  straight chain alkynylamine,  $C_2$ - $C_{20}$  straight chain substituted alkynylamine,  $C_3-C_{50}$  branched chain alkynylamine,  $C_3-C_{50}$  branched chain substituted alkynylamine,  $C_3-C_{50}$  cyclic alkynylamine,  $C_3-C_{50}$ cyclic substituted alkynylamine,  $C_1-C_{20}$  straight chain substituted alkylhydrazine,  $C_1-C_{50}$  straight chain alkylhydrazine,  $C_2$ - $C_{50}$  branched chain alkylhydrazine,  $C_2$ - $C_{50}$ branched chain substituted alkylhydrazine, 3-C50 cyclic hydrazoalkane,  $C_3$ - $C_{50}$  cyclic substituted hydrazoalkane,  $C_2$ - $C_{20}$ 10 straight chain alkenylhydrazine,  $C_2-C_{20}$  straight chain chain substituted alkenylhydrazine, C3-C50 branched substituted chain alkenylhydrazine, C<sub>3</sub>-C<sub>50</sub> branched alkenylhydrazine,  $C_3-C_{50}$  cyclic hydrazoalkene,  $C_3-C_{50}$  cyclic chain substituted hydrazoalkene, C2-C20 straight substituted alkynylhydrazine, C2-C20 straight chain alkynylhydrazine,  $C_3-C_{50}$  branched chain alkynylhydrazine,  $C_3-C_{50}$ branched chain substituted alkynylhydrazine, C3-C50 cyclic hydrazoalkyne,  $C_3-C_{50}$  cyclic substituted hydrazoalkyne,  $C_1-C_{20}$ straight chain alkylhydroxyamine,  $C_1-C_{20}$  straight chain chain 20 substituted alkylhydroxyamine,  $C_2-C_{50}$  branched substituted alkylhydroxyamine,  $C_2$ - $C_{50}$  branched chain alkylhydroxyamine,  $C_3-C_{50}$  cyclic oxyalkylamine,  $C_3-C_{50}$  cyclic chain substituted oxyalkylamine,  $C_2-C_{20}$  straight alkenylhydroxyamine,  $C_2$ - $C_{20}$  straight chain substituted 25 alkenylhydroxyamine, C<sub>3</sub>-C<sub>50</sub> branched chain alkenylhydroxyamine,  $C_3$ - $C_{50}$  branched chain substituted alkenylhydroxyamine,  $C_3$ - $C_{50}$ substituted oxyalkenylamine, C<sub>3</sub>-C<sub>50</sub> cyclic cyclic oxyalkenylamine,  $C_2$ - $C_{20}$  straight chain alkynylhydroxyamine,  $C_2$ - $C_{20}$  straight chain substituted alkynylhydroxyamine,  $C_3$ - $C_{50}$ 30 branched chain alkynylhydroxyamine,  $C_3-C_{50}$  branched chain substituted alkynylhydroxyamine,  $C_3-C_{50}$  cyclic oxyalkynylamine,  $C_3-C_{50}$  cyclic substituted oxyalkynylamine,  $C_1-C_{20}$  straight chain substituted alkylsemicarbazide, C1-C20 straight chain alkylsemicarbazide,  $C_2$ - $C_{50}$  branched chain alkylsemicarbazide, 35  $C_2$ - $C_{50}$  branched chain substituted alkylsemicarbazide,  $C_3$ - $C_{50}$ substituted alkylsemicarbazide, C<sub>3</sub>-C<sub>50</sub> cyclic cyclic alkylsemicarbazide,  $C_2$ - $C_{20}$  straight chain alkenylsemicarbazide,

C2-C20 straight chain substituted alkenylsemicarbazide, C3-C50 branched chain alkenylsemicarbazide, C3-C50 branched chain substituted alkenylsemicarbazide, C3-C50 alkenylsemicarbazide,  $C_3-C_{50}$  cyclic substituted 5 alkenylsemicarbazide,  $C_2-C_{20}$  straight alkynylsemicarbazide,  $C_2-C_{20}$  straight chain substituted alkynylsemicarbazide, C<sub>3</sub>-C<sub>50</sub> branched chain alkynylsemicarbazide, C<sub>3</sub>-C<sub>50</sub> branched chain substituted alkynylsemicarbazide, C3-C50 cyclic alkynylsemicarbazide, C3-C50 10 cyclic substituted alkynylsemicarbazide, C1-C20 straight chain alkylthiosemicarbazide, C1-C20 straight chain substituted alkylthiosemicarbazide, C<sub>2</sub>-C<sub>50</sub> branched alkylthiosemicarbazide,  $C_2-C_{50}$  branched chain substituted alkylthiosemicarbazide, C<sub>3</sub>-C<sub>50</sub> cyclic alkylthiosemicarbazide, 15 C<sub>3</sub>-C<sub>50</sub> cyclic substituted alkylthiosemicarbazide, C<sub>2</sub>-C<sub>20</sub> straight alkenylthiosemicarbazide, C<sub>2</sub>-C<sub>20</sub> chain chain straight substituted alkenylthiosemicarbazide, C3-C50 branched chain alkenylthiosemicarbazide, C3-C50 branched chain substituted alkenylthiosemicarbazide, C<sub>3</sub>-C<sub>50</sub> 20 alkenylthiosemicarbazide,  $C_3-C_{50}$  cyclic substituted alkenylthiosemicarbazide, C2-C20 straight alkynylthiosemicarbazide,  $C_2-C_{20}$  straight chain substituted alkynylthiosemicarbazide, C<sub>3</sub>-C<sub>50</sub> branched chain alkynylthiosemicarbazide, C3-C50 branched chain substituted 25 alkynylthiosemicarbazide, C<sub>3</sub>-C<sub>50</sub> alkynylthiosemicarbazide, C3-C50 cyclic substituted alkynylthiosemicarbazide, C1-C20 straight chain alkylhydrazone, C<sub>1</sub>-C<sub>20</sub> straight chain substituted alkylhydrazone, C<sub>2</sub>-C<sub>50</sub> branched chain alkylhydrazone, C2-C50 branched chain substituted 30 alkylhydrazone,  $C_3-C_{50}$  cyclic hydrazoalkane,  $C_3-C_{50}$  cyclic substituted hydrazoalkane, C2-C20 straight chain alkenylhydrazone,  $C_2-C_{20}$  straight chain substituted alkenylhydrazone, C3-C50 branched chain alkenylhydrazone, C3-C50 branched chain substituted alkenylhydrazone, C3-C50 cyclic 35 hydrazoalkene, C<sub>3</sub>-C<sub>50</sub> cyclic substituted hydrazoalkene, C<sub>2</sub>-C<sub>20</sub> straight chain alkynylhydrazone, C2-C20 straight chain substituted alkynylhydrazone, C3-C50 branched chain WO 94/06815 PCT/US93/08367

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alkynylhydrazone,  $C_3-C_{50}$ branched substituted chain alkynylhydrazone,  $C_3-C_{50}$  cyclic hydrazoalkyne,  $C_3-C_{50}$  cyclic substituted hydrazoalkyne, C1-C20 straight chain alkylhydrazide,  $C_1-C_{20}$  straight chain substituted alkylhydrazide,  $C_3-C_{50}$  branched 5 chain alkylhydrazide, C3-C50 branched chain substituted alkylhydrazide, C3-C50 cyclic alkylhydrazide, C3-C50 cyclic substituted alkylhydrazide, C2-C20 straight alkenylhydrazide, C,-C,0 straight chain substituted alkenylhydrazide, C3-C50 branched chain alkenylhydrazide, C3-C50 10 branched chain substituted alkenylhydrazide, C3-C50 cyclic alkenylhydrazide, C3-C50 cyclic substituted alkenylhydrazide, C2-C20 straight chain alkynylhydrazide, C2-C20 straight chain substituted alkynylhydrazide,  $C_3-C_{50}$  branched chain alkynylhydrazide, C3-C50 branched chain substituted 15 alkynylhydrazide,  $C_3-C_{50}$  cyclic alkynylhydrazide and  $C_3-C_{50}$ cyclic substituted alkynylhydrazide.

In preferred embodiments, polyamine species are linear or cyclic and are non-aromatic. In still more preferred embodiments, polyamine species are linear or cyclic, non-aromatic, and contain non-amide nitrogen atoms. By non-amide is meant a nitrogen which is not adjacent to a carbonyl group (i.e., C=0 or C=S).

In still other embodiments of the present invention compounds having the structure:

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wherein  $R_4$  is an oligonucleotide and M is a pendent group having an amine-containing species attached thereto are provided. The pendent group may be any group to which an amine-containing species may be attached. In preferred embodiments the pendent group is a  $R_{10}S$  or  $R_{10}NH$ , wherein  $R_{10}$  is any of a broad range of reactive groups effective for

II

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subsequent attachment of amine-containing species to the pendent group. Suitable for R<sub>10</sub> are substituted and unsubstituted, straight chain or branched chained C<sub>1</sub>-C<sub>20</sub> alkyl groups or substituted or un-substituted C<sub>7</sub>-C<sub>14</sub> aryl groups having the nucleophile in one position thereon and a further functional group in a further position thereon. The pendent group may thus, subsequently functionalized with a bifunctional linker group amendable for attachment of an amine-containing species to the pendent group. Alternatively the amine-containing species may be directly attached to a pendent group such as by alkylation.

Further in accordance with the present invention are provided compounds having the structure:

III

wherein  $R_4$  is an oligonucleotide,  $R_5$  is a linear or cyclic amine-containing species containing non-amide nitrogen atoms, and  $R_6$  is H, a purine heterocycle or a pyrimidine heterocycle.

The present invention also provides novel amine containing compounds having the structure:

IV

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1. 1. 1. 1. N. N. S. C. N. S.

wherein B is a purine or pyrimidine heterocycle, R<sub>8</sub> and R<sub>9</sub> are independently H, PO<sub>2</sub>, a nucleotide, an oligonucleotide or an amine-containing species, and at least one of R<sub>8</sub> and R<sub>9</sub> is a purine containing oligonucleotide, and at least one of R<sub>8</sub>, R<sub>9</sub> and A is a species comprising the formula L<sub>1</sub>-L<sub>2</sub>-polyamine wherein L<sub>1</sub> is an amino linker and L<sub>2</sub> is a heterobifunctional linker; and wherein if R<sub>8</sub> is not a purine containing

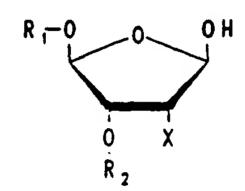
oligonucleotide or polyamine species, then  $R_8$  is a nucleotide or  $PO_2$ ; if  $R_9$  is not a purine containing oligonucleotide or polyamine species, then  $R_9$  is H or a nucleotide; and if A is not a polyamine species then A is H or OH.

Thus  $R_8$  and  $R_9$  may be oligonucleotides and A may be a species comprising the formula  $L_1$ - $L_2$ -polyamine, or alternatively,  $R_8$  may be an oligonucleotide and one or both of  $R_9$  and A may be a species comprising the formula  $L_1$ - $L_2$ -polyamine; or  $R_9$  may be an oligonucleotide and one or both of  $R_8$  and A may be a species comprising the formula  $L_1$ - $L_2$ -polyamine. Furthermore, when  $R_8$  is not a purine containing oligonucleotide or polyamine species, then  $R_8$  is a nucleotide or  $PO_2$ . If  $R_9$  is not a purine containing oligonucleotide or polyamine species, then  $R_9$  is H or a nucleotide, and if A is not a polyamine species then A is H or OH.

In preferred embodiments of the present invention commercially available amino linkers may be used. For example, the 3'-amino modifiers having the trade names C3 CPG and C7 CPG available through Glen Research may be employed. 5'-Amino modifiers may also be used such as C3 and C7 5' branched modifiers available through Glen Research. Similarly, 2'-amino modifiers are also envisioned for use in some aspects of the present invention, see, e.g., United States Application Serial No. 782,374, filed 10/24/91. The amino linkers are designed to functionalize a target oligonucleotide by the introduction of a primary amine at a designated site, be it 2', 3' or 5'. As will be apparent to one skilled in the art, any linker which meets this end is encompassed by the present invention.

Likewise, bifunctional linkers effective for purposes of the present invention are available commercially. For example, bis-(maleimido)-methyl ether (BMME), disuccinimidyl suberate (DSS), 3-maleimidobenzoyl-N-hydroxy-succinimide (MBS), maleimidohexanoyl-N-hydroxyl-succinimide (MHS) and N-succinimidyl-3-(2-pyridyldithio)propionate (SPDP) may be useful in some embodiments of the present invention. Other useful bifunctional linkers will be apparent to one skilled in the art as for instance from Pierce, Rockford, IL.

Compounds of the present invention may be prepared by providing an oligonucleotide comprising one or more abasic sites. In the context of the present invention "abasic site" refers to a nucleotide unit in which the purine or pyrimidine group has been removed or replaced by a group such as a hydroxyl group. One or more abasic sites may be incorporated into one or more nucleotide bases of an oligonucleotide to form a synthon having the structure:



wherein R<sub>1</sub> and R<sub>2</sub> are independently H, a nucleotide, an 10 oligonucleotide, or amine-containing species, and at least one of R<sub>1</sub> and R<sub>2</sub> is a purine containing oligonucleotide, and X is H,  $O-R_{11}$ ,  $S-R_{11}$ , F, Cl, Br, CN,  $CF_3$ ,  $OCF_3$ , OCN,  $SOCH_3$ ,  $SO_2CH_3$ , HN<sub>2</sub>, heterocylcoalkyl, heterocycloalkaryl, ONO, N<sub>3</sub>, aminoalkylamino, polyalkylamino, substituted silyl, a reporter 15 molecule, an RNA cleaving group, a group for improving the pharmacokinetic properties of an oligonucleotide, or a group improving the pharmacodynamic properties for oligonucleotide wherein R11 is H, C1 to C10 straight or branched chain lower alkyl or substituted lower alkyl, C2 to C10 straight 20 or branched chain lower alkenyl or substituted lower alkenyl, C<sub>3</sub> to C<sub>10</sub> straight or branched chain lower alkynyl substituted lower alkynyl, a 14C containing lower alkyl, lower alkenyl or lower alkynyl, C, to C14 substituted or unsubstituted alkyaryl or aralkyl, a 14C containing C7 to C14 alkaryl or 25 aralkyl, alicyclic, heterocyclic, a reporter molecule, a RNA cleaving group, a group for improving the pharmacokinetic properties of an oligonucleotide or a group for improving the pharmacodynamic properties of an oligonucleotide.

An enzymatic process may be used to produce such a synthon having abasic sites by reaction of a DNA glycosylase with an oligonucleotide starting material. Several glycosylase enzymes are available, see Friedberg, DNA Repair (W.H. Freeman

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and Company, NY, 1985) p. 153. For example, uracil DNA glycosylase act on uracil bases within an oligonucleotide to create abasic sites. Of course, it should be recognized that enzymatic methods using DNA glycosylase may be less effective for oligonucleotides more closely resembling RNA such as oligonucleotides having 2' modifications.

Enzymes, as employed in the present invention, may be derived from naturally occurring sources or may be prepared by recombinant techniques. Many useful enzymes are available commercially.

prepared alternatively be by can Synthons incorporation of abasic sites into an oligonucleotide via example, precursors. For abasic sugar  $\label{lem:dimethoxytrityl} \verb|-1,2-dideoxy-1-(o-nitrobenzyl)-D-ribofuranose-\\$ 15 3-0-(2-cyanoethyl-N,N'-diisopropyl) phosphoramidite may be prepared by modification of the procedures of Lyer, et al., Nucleic Acids Research 1990, 18, 2855 and Didier, et al., Tetrahedron Letters 1991, 32, 207. Phosphoramidites having a 2' substitutions and abasic sites may also be prepared. 2'-0-methyl or 2'-fluoro example, a synthon may have 20 substitutions. Such phosphoramidite may be incorporated into standard procedures. by oligonucleotide an nitrobenyzldeoxyfuranose containing oligonucleotide can be synthesized in accordance with these procedures. 25 synthesis photolysis utilizing a high intensity Hg lamp generates the corresponding abasic site-containing polymer. In addition, other methods of introducing abasic sites at the 3', 5' and internal positions of an oligonucleotide to form a synthon are known to those skilled in the art. Thereafter the 30 synthon may be reacted with an amine-containing species under reducing conditions. As illustrated in Figure 1, Step A, a compound may be prepared wherein B is uridine and an enzymatic process may be used to produce a synthon having abasic sites at one or more uridine sites by digestion of the compound with an 35 enzyme such as uracil-DNA glycosylase. Other glycosylases will be effective for different targets. As described above, a glycosylase may be determined by the combined sequence of  $R_1$ ,

R<sub>2</sub> and B. Some useful glycosylases and their respective targets are described, for example, by Friedberg, *DNA Repair* (W.H. Freeman and Company, NY, 1985) p. 153. These enzymes are commercially available or may be prepared from known procedures in the art.

In other embodiments of the present invention, as exemplified in Figure 2, compounds having Formula II may be prepared by providing starting material having the structure:

$$\begin{array}{c} R = 0 \\ 0 \\ 0 \\ R = 2 \end{array}$$

wherein R4 is an oligonucleotide, R12 is an oligonucleotide and 10 B is urea or a heterocyclic base having a corresponding glycosylase and reacting the compound with an endonuclease to produce the compound 2 as described by Manoharan, et al., J. Am. Chem. Soc, 1988, 110, 2690. Thereafter, the compound 2 is contacted with a pendent group such as R<sub>10</sub>S, and reduced with 15 the reducing agent NaCNBH, to stabilize the product 5. An amine-containing species may then be added such as alkylation to provide the final product 7. An amine-containing species may alternatively be added directly to a bifunctional pendent group. Some endonucleases which will be useful in 20 embodiments of the present invention are described, for example, in Doetsch et al., Mutation Research 1990, 236, 173, incorporated by reference herein in its entirety. endonuclease chosen will depend upon the identity of B and the sequence of  $R_{i}$  and/or  $R_{i2}$ . Thus, if B is a pyrimidine 25 heterocycle, and the sequence of R<sub>12</sub> begins with a pyrimidine, then an endonuclease such as T4 or M. luteus UV endonuclease may be chosen. Following digestion by T4 or M. luteus UV endonuclease, B and R<sub>12</sub> are removed, resulting in a 3' terminal  $\alpha, \beta$  unsaturated aldehydic species. In some instances, it may 30 be desirable to engineer the sequence of the species so as to provide a endonuclease digestion site at a desired location.

Thus, in one preferred embodiment of the present invention  $R_{\ell}$  may be TGGGAGCCATAGCGAGGCUCG (SEQ ID NO: 1), B may be the pyrimidine thymine and  $R_{12}$  may be a thymidine dinucleotide. The net result of digestion of this species with T4 UV endonuclease will be TGGGAGCCATAGCGAGGCN (SEQ ID NO: 2) wherein N represents the aldehydic species.

Treatment of the digested compound with pendent group comprising a linker bearing a nucleophile results in the addition of the pendent group at the 3' terminus of the 10 compound to join the linker to the digested compound. Suitable nucleophilic species include thiols and amines moieties as In preferred embodiments of the present described above. invention the pendent group is R<sub>10</sub>S or R<sub>10</sub>NH. A polyamine species such as NH<sub>2</sub>(CH<sub>2</sub>)<sub>n</sub>NH<sub>2</sub> wherein n is an integer from 1 to 15 about 10 could be used as the attacking nucleophile by suitably blocking one end thereof and utilizing the other end as the attacking nucleophilic species. R<sub>10</sub> can be further selected to provide a linkage or bridge between the nucleophile and an amine-containing species. Suitable for R<sub>10</sub> are substituted and 20 un-substituted, straight chain or branched chained C<sub>1</sub>-C<sub>20</sub> alkyl groups or substituted or un-substituted C7-C14 aryl groups having the nucleophile in one position thereon and a further functional group in a further position thereon. attachment of the pendent group via nucleophilic attack on compound 2, for attachment of the amine-containing species the further functional group is then derivitized either via a bifunctional linking group, an alkylation type reaction or other derivation reaction known to those skilled in the art.

Upon addition of the pendent group to the digested compound, the double bond remaining on the digested compound is reduced to stabilize the product. Reducing agents effective to stabilize the end product of such a reaction are well known in the art. Some suitable reducing agents include sodium cyanoborohydride, lithium cyanoborohydride and sodium borohydride.

Thereafter an amine-containing species may be added via an alkylation reaction or directly to a pendent group which

is a bifunctional linker. The compound may further be derivatized by attaching one or more reactive groups to at least one of the nitrogen atoms of the amine-containing species. Reactive groups include, but are not limited to reporter groups, alkylating agents, intercalating agents, RNA cleaving moieties, cell receptor binding molecules, steroids, peptides, crown amines, porphyrins and cross-linking agents.

In accordance with other methods of the present invention compounds of Formula III may be prepared by reacting an oligonucleotide having a 3' ribofuranosyl sugar with an oxidizing agent to produce an dialdehyde-terminated activated oligonucleotide. Suitable oxidants include periodate solution, lead tetraacetate, activated MnO<sub>2</sub>, thallium (III) salts, pyridinium chlorochromate and O, catalyzed by Co (III) salts.

15 Thereafter dialdehyde-terminated the activated oligonucleotide is reacted with an amine-containing species under reducing conditions. Reducing agents are known to those skilled in the art. Preferably, the activated oligonucleotide and species containing at least one nitrogen atom will be 20 reacted in the presence of solution a of sodium cyanoborohydride, lithium cyanoborhydride sodium or borohydride.

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In preferred embodiments of the present invention compounds may be produced as illustrated by Figure 3, by preparation of an oligonucleotide having a 3' ribofuranosyl end followed by attack of the 3' ribofuranosyl ring by an oxidant such as m-periodate solution in 0.1M NaOac buffer pH5, as described by Bayard, et al., Biochemistry 1986, 25, 3730 to produce a dialdehyde-terminated activated oligonucleotide (Figure 3, Step A). The activated oligonucleotide and a species containing four nitrogen atoms, spermine, can be reacted in the presence of the reducing agent, sodium cyanoborohydride (Figure 3, Step B).

Compounds of the present invention preferably are specifically hybridizable with a target region. By "specifically hybridizable" herein is meant capable of forming a stable duplex with a target DNA or RNA. It is believed that

8 (0.40), 3 (53.01)

oligonucleotides which form Watson-Crick base pairs, i.e., are complementary with target DNA or RNA and which specifically hybridize with target DNA or RNA, inhibit the flow of genetic information from DNA to protein. In some embodiments of the 5 present invention the oligonucleotide portions of compounds of the present invention are at least 70% complementary to a In preferred embodiments of the present target sequence. invention the oligonucleotide portions of compounds of the present invention are at least 80% complementary to a target 10 sequence. Full (100%) complementarity of the oligonucleotide portions of compounds of the present invention to a target sequence is most preferred. In preferred embodiments of the present invention, the oligonucleotide portions may be specifically hybridizable with DNA or RNA from papilloma virus, 15 herpes viruses, human immunodeficiency virus, Candida, cytomegaloviruses, and influenza viruses. In addition, the oligonucleotide portions may also be specifically hybridizable with endogenous DNA or RNA of a cell. By oligonucleotide portions is meant R<sub>1</sub> and/or R<sub>2</sub> of Formula I, R<sub>4</sub> of Formula II, 20 R<sub>4</sub> and/or R<sub>6</sub> of Formula III, or R<sub>8</sub> and/or R<sub>9</sub> of Formula IV. For therapeutics, an animal suspected of having a disease characterized by excessive or abnormal production of a protein is treated by administering a compound having the structure set forth in Formula I, Formula II, Formula III, or Formula IV in 25 a pharmaceutically acceptable carrier. Most preferable, the compound is hybridizable with an RNA coding for the protein. Persons of ordinary skill in the art can easily determine optimum dosages, dosing methodologies and repetition rates. Such treatment is generally continued until either a cure is 30 effected or a diminution in the diseased state is achieved. Long term treatment is likely for some diseases.

The compounds of the present invention will also be useful as a research reagent useful for the modulation of the production of a protein by an organism. Modulation may be accomplished by contacting the organism with compounds of the present invention having structures as set forth in Formula I,

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Formula II, Formula III, or Formula IV. Preferably the compounds are hybridizable with RNA coding for the protein.

Diagnostic applications include the detection of the presence or absence of an RNA in a sample suspected of 5 containing RNA comprising contacting the sample with a compound having structures as set forth in Formula I, Formula II, Formula III or Formula IV wherein the compound is specifically hybridizable with the RNA and detecting the presence or absence of hybridization of the compound to the sample wherein 10 hybridization is indicative of the present of the RNA in the sample.

It is also envisioned by the present invention to provide compounds in which at least one of the nitrogen atoms of the polyamine are derivatized with one or more of the group 15 consisting of functionalities such as reporter alkylating agents, intercalating agents, cell receptor binding molecules, steroids, crown amines, porphyrins, PNA (Peptide Nucleic Acids), PEG (polyethylene glycol) containing amines, amines and cross-linking agents. Therapeutic, diagnostic and 20 research reagent applications are equally, or even more effective when the polyamine species further comprises such compounds Such greater groups. allow numbers of functionalities to be delivered to a target. For example, reporter groups such as biotin, fluorescent molecules and 25 various fluorophores may be attached to compounds of the present invention to effect diagnostic ends, resulting in signal amplification as compared to conventional oligonucleotide-reporter group combinations. In a preferred embodiment of the present invention, biotin may be used to 30 functionalize compounds of the present invention by reacting a compound with D-biotin-N-hydroxysuccinimide ester. In a further preferred embodiment, the polyamine species may be further functionalized by reacting the compound containing the polyamine species with an activated ester having the structure (Compound 13): 35

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Where DNP stands for 2,4-dinitrophenyl protecting group to form a compound with repeating imidazole catalytic cleaver units useful as an antisense therapeutic agents. Heterobifunctional linkers also can be utilized for attachment of intercalators, RNA cleaving agents including imidazoles, cell receptor binding molecules, steroids, alkylating agents, crown amines, porphyrins and cross-linkers to the polyamine species.

The following examples are illustrative but are not meant to be limiting of the present invention.

### 10 EXAMPLE 1

Preparation of an Abasic Site Containing Oligonucleotide via Enzymatic Reaction

A. Synthesis of an Oligonucleotide containing a Single Uridine Site

An oligonucleotide having the sequence CGC AGU CAG CC (SEQ ID NO:3) wherein U represents a 2'deoxyuridine nucleotide, was prepared by standard solid phase synthesis. The deoxyuridine nucleotide in the middle of the sequence was added during synthesis utilizing deoxyuridine phosphoramidite (Glen Research, Sterling, VA). The oligonucleotide was prepared utilizing standard synthesis cycles. It was deprotected by normal deprotection at 55°C utilizing ammonium hydroxide, 30%, for 16 hours. The solvent was evaporated and the residue was purified by HPLC and detritylated. Final purification was effected on Sephadex G-25.

# B. Preparation of Enzyme Stock Solution

Uracil-DNA glycosylase was isolated from E. Coli M5219 cells transformed with the expression plasmid pBD396 containing

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the ung gene. The enzyme was purified by electrophoretic homogeneity as described by Lindahl, et al., J. Biol. Chem. 1977, 252, 3286 and stored in 30mM HEPES-NaOH, pH 7.4, containing 5% glycerol, 2mM DTT and 1 mM EDTA.

C. Preparation of Oligonucleotide Containing Single Abasic Site

An abasic oligonucleotide of the sequence CGC AGN CAG CC (SEQ ID NO:4) wherein N represents an abasic site, was prepared by treating 237 O.D. units of an oligonucleotide having SEQ ID NO:1 of Example 1A in 0.5ml water with 200 µl of the stock solution of Example 1B (200 µg of uracil DNA-glycosylase) and incubating at room temperature overnight. HPLC analysis showed quantitative removal of uracil as indicated by a 1:10 ratio between uracil and the abasic dodecamer oligonucleotide. The uracil retention time was 2.43 minutes and the abasic oligonucleotide was 21.68 minutes. The solution was lyophilized and stored in the freezer until further use.

D. Preparation of Oligonucleotide Containing
Multiple Uridine Sites

In the manner of Example 1A the following oligonucleotide was prepared GAC AGA GGU AGG AGA AGU GA (SEQ ID NO: 5) wherein U represents a 2'-deoxyuridine nucleotide. The oligonucleotide is treated in accordance with the procedure of Example 1C resulting in an oligonucleotide of the sequence GAC AGA GGN AGG AGA AGN GA (SEQ ID NO: 6) wherein N represents an abasic site within the oligonucleotide.

### EXAMPLE 2

Preparation of an Abasic Site Containing Oligonucleotide via an Abasic Sugar Precursor

A. Preparation of 5-0-4,4'-Dimethoxytrityl-1,2-Dideoxy-1-(o-nitrobenzyl)-D-Ribofuranose-3-0-(2-Cyanoethyl-N,N'-Diisopropyl) Phosphoramidite.

5-0-4,4'-dimethoxytrityl-1,2-dideoxy-D-ribofuranose-3-35 O-(2-cyanoethyl-N,N'-diisopropyl) phosphoramidite is prepared in accordance with modification of the procedures of Lyer, et

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al., Nucleic Acids Research 1990, 18, 2855 and Didier, et al., Tetrahedron Letters 1991, 32, 207 incorporated by reference herein in their entireties.

# B. Preparation of Oligonucleotide Containing Abasic Site

Oligonucleotide having the sequence CGC AGN CAG CC wherein N represents an abasic site (SEQ ID NO:4) from Example 1C can be prepared in accordance with modifications of the procedures of Lyer, et al., Nucleic Acids Research 1990, 18, 10 2855 and Didier, et al., Tetrahedron Letters 1991, 32, 207. In with an o-nitrobenzyl these procedures, accordance deoxyfuranose containing oligonucleotide is synthesized using the oligonucleotide synthetic methods of Lyer, et al., and Didier, et al., Photolysis utilizing a high intensity Hg lamp 15 (300nm) generates the corresponding abasic site containing oligonucleotide. Such abasic oligonucleotides are also described in Horn, et al., Nucleosides and Nucleotides 10:299 (1991).

#### EXAMPLE 3

- 20 Preparation of Modified Abasic Sugar Precursors
  - A. Preparation of 5-0-(4,4'-Dimethoxytrity1)-2-0-Methyl-1,2-dideoxy-D-Ribofuranose-3-0-(2-Cyanoethyl-N,N'-Diisopropyl) Phosphoramidite.

1-O-methyl-D-ribofuranose is 3,5 protected with TIPS-25 Cl<sub>2</sub>. It is then 2-position methylated with either diazomethane or methyl iodide/silver oxide (CH3I/Ag2O). The composition is then treated with an acetic anhydride/acetic acid/sulfuric acid mixture to give a 1-0-acetyl, 2-0-methyl 3,5 protected sugar. The 1-O-acetyl, 2-O-methyl 3,5 protected sugar is deprotected tetrabutyl fluoride, 30 with ammonium 5-position dimethoxytritylated, 3-position phosphitylated. and Thereafter, this phosphoramidite may be incorporated into an oligonucleotide by standard phosphoramidite procedures and ammonia deprotected to form a 2'-0-methyl, 1' abasic site 35 containing oligonucleotide.

- B. Preparation f 5-0-4,4'-Dimeth xytrityl-2-0-Methyl-1,2-Dideoxy-1-(o-nitrobenzyl)-D-Ribofuranos -3-0-(2-Cyanoethyl-N,N'-Diis propyl) Phosphoramidite.
- 2,3,5-tri-O-benzoyl-D-ribofuranose 5 1-0-acetyl is Vorbruggen condensed o-nitrobenzyl alcohol under with conditions. The resultant 1-0-(ortho-nitrobenzyl)-2,3,5-tri-0benzoyl  $(\alpha, \beta)$ -D-ribofuranose is deprotected with ammonia and subsequently treated with TIPS-Cl,. The resultant 3,5-silyl 10 protected 1-0-(ortho-nitro benzyl) D-ribofuranose is reacted with diazomethane or CH3I/Ag2O to give the required 2-O-methyl compound. Subsequent 3,5-deprotection, 5-dimethoxy tritylation and 3-phosphitylation gives the named phosphoramidite. phosphoramidite can be incorporated into an oligonucleotide via 15 standard phosphoramidite procedures.
  - C. Preparation of 5-0-(4,4'-Dimethoxytrityl)-2-Fluoro-1,2-Dideoxy-D-Ribofuranose-3-0-(2-Cyanoethyl-N,N'-Diisopropyl) Phosphoramidite.

1-0-(ortho-nitrobenzyl)-2,3,5-tri-0-benzoyl-D-20 ribofuranose is deprotected at 2,3,5 positions using ammonia. Tritylation with trityl chloride/pyridine/4excess dimethylaminopyridine gives 3-5-ditrityl-1-0-nitrobenzyl-D-ribo Oxidation at 2 position with Cro, followed by NaBH, furanose. reduction inverts the configuration at 2 position yielding an arabino sugar. The arabino sugar is converted to its triflate at 2 position and the triflate is displaced with fluoride ion to yield the 2-fluoride modified sugar which can be 5 position protected and phosphitylated to incorporate the sugar into an oligonucleotide via standard oligonucleotide synthesis.

# EXAMPLE 4

Oligonucleotides conjugated in the following example are set forth in Table 2.

TABLE II

5	OLIGOMER (SEQ ID NO.)	TARGET	SEQUENCE	LINKER (L)	OTHER MODIFI- CATIONS
	A (SEQ ID NO:7)	ICAM	TGG GAG CCA TAG CGA GGC-L	3-carbon amino	P=S
10	B (SEQ ID NO:7)	ICAM	TGG GAG CCA TAG CGA GGC-L	3-carbon amino	P=O
	C (SEQ ID NO:8)	BPV	CTG TCT CCA* TCC TCT TCA CT	2'amino- pentoxy	P=O
	D (SEQ ID NO:9)	BPV	CTG TCT CCA TCC TCT TCA CT-L	3-carbon amino	P=O
15	E (SEQ ID NO:9)	BPV	CTG TCT CCA TCC TCT TCA CT-L	6-carbon amino	P=O
	F (SEQ ID NO:10)	CMV	GGC GUC UCC AGG CGA UCU. GAC*		2'-OMe
20	G (SEQ ID NO:11)	ICAM	TCT GAG TAG CAG AGG AGC TC*		2'-OMe
	H (SEQ ID NO:12)		GGA UGG CGU CUC CAG GCG AUC*		2'-OMe
	I (SEQ ID NO:13)		GGA UGG CGU CUC CAG GCG AUC-L	3-carbon amino	2'-OMe
25	J (SEQ ID NO:13)		GGA UGG CGU CUC CAG GCG AUC-L	6-carbon amino	2'-OMe
	K (SEQ ID NO:7)		F-TGG GAG CCA TAG CGA GGC-L	3-carbon amino	2'-OMe

A = 2'-O-aminopentoxy-2'-deoxyadenosine 30 C = 2'-aminopropoxy cytosine F = Fluorescein

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A. 3' Terminus Polyamine End Labeled Oligonucleotide.

# 1. 3'-Terminus Polyamine Oligonucleotide I

Polyamines were attached to the 3'-terminus end of a phosphodiester oligonucleotide having the sequence D-polyamine [(SEQ ID NO: 9)-polyamine], wherein the polyamine is one of the following:

#### TABLE III

- 1,6 Diaminohexane Oligomer D(i)

- Diethylenetriamine Oligomer D(ii)

- Triethylenetetramine Oligomer D(iii)

- Spermine Oligomer D(iv)

- Pentaethylenehexamine Oligomer D(v)

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# a. Preparation of the Intermediate Linker

The oligonucleotide sequence having a 3'-terminus amino group was synthesized using 3'-amino modifier (with a three carbon linker) controlled pore glass (CPG) from Glen Research as the solid support. The synthesis was conducted with an Applied Biosystems 380B or 994 in the "Trityl-Off" mode. The resultant oligonucleotide was cleaved from the solid support and deprotected with concentrated NH<sub>4</sub>OH for 16 hrs at 55°C. Purification on a Sephadex G-25 column yielded a 3'-amino modified oligonucleotide of the specified sequence.

# b. Preparation of Polyamine Functionalized Oligonucleotide

The crude 3'-aminolinker-oligonucleotide (SEQ ID NO:9)
(15 O.D. units, approximately 85 nmols) was dissolved in freshly prepared NaHCO3 buffer (150 ul, 0.2 M, pH 8.1) and treated with a solution of disuccinimidyl suberate (DSS)

30 (approximately 5 mgs) dissolved in 150 ul of methyl sulfoxide (DMSO). The reaction mixture was left to react for 20 minutes at room temperature. The mixture was then passed over a Sephadex G-25 column (0.7 x 45 cm) to separate the activated oligonucleotide-DSS from the excess DSS. The oligonucleotide-35 DSS was then frozen immediately and lyophilized to dryness. A

solution of polyamine in 0.33 M NaOAc (approximately 6 mg polyamine in 300 ul 0.33 M NaOAc, pH 5.2, final solution pH 6-8.0) was added to the dried oligonucleotide-DSS, and this mixture was allowed to react overnight at room temperature.

5 The resulting polyamine-oligonucleotide conjugate was characterized by reverse phase HPLC and a 20% denaturing gel. Solvent A was 50 mM TEAA, solvent B was CH<sub>3</sub>CN. The HPLC gradient was from 0-10 mins, 95% solvent A, 5% solvent B; linear increase to 40% solvent B in the next 50 minutes using a Water's Delta-Pak C-18 reverse phase column. HPLC retention times were as set forth in Table 4.

### TABLE IV

	Oligomer	Retention Time
	unreacted D	26.44 mins
15	Oligomer D(i)	27.48 mins
	Oligomer D(ii)	27.23 mins
	Oligomer D(iii)	27.27 mins
	Oligomer D(iv)	27.54 mins
	Oligomer D(v)	27.36 mins

In a second test run under the same conditions the HPLC gradient was 0-10 mins, 95% solvent A, 5% solvent B; linear increase to 15% solvent B in 60 minutes. HPLC retention times were as set forth in Table 5.

# TABLE V

25	Oligomer	Retention Time
	untreated D	60.74 mins
	Oligomer D(ii)	62.37 mins
	Oligomer D(v)	65.24 mins

Gel analysis showed progressively slower migration times for the polyamine conjugates (the larger the polyamine, the slower the migration) versus the oligonucleotide alone. (Gel: 313-107)

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# c. Nuclease stability of 3' polyamin conjugates in Fetal Calf Serum

Polyamine conjugates of the invention are assessed for their resistance to serum nucleases by incubation of the 5 oligonucleotides in media containing various concentrations of fetal calf serum. Labeled oligonucleotides are incubated for various times, treated with protease K and then analyzed by gel electrophoresis on 20% polyacrylamide-urea denaturing gels and subsequent autoradiography or phosphor-imaging. Autoradiograms 10 are quantitated by laser densitometry. Based upon the location of modifications length the and the known oligonucleotide it is possible to determine the effect of the particular modification on nuclease degradation. For cytoplasmic nucleases, a HL60 cell line is used. 15 post-mitochondrial supernatant is prepared by differential centrifugation and the labeled oligonucleotides are incubated in this supernatant for various times. Following the incubation, oligonucleotides are assessed for degradation as outlined nucleolytic degradation. above for serum 20 Autoradiography results are quantitated for comparison of the unmodified and the modified oligonucleotides. The ty are set forth below.

### TABLE VI

	Oligonucleotide	t <sub>y</sub> (hours)
25	wild type oligomer D	0.5 (no aminolinker)
	unreacted oligomer D	22 (with aminolinker)
	oligomer D(ii)	48
	oligomer D(v)	>50

# 2. 3' - Terminus Polyamine Conjugate II

Polyamines were attached to the 3'-terminus end of a phosphodiester oligonucleotide having the sequence E-polyamine

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[(SEQ ID NO: 9)-polyamine] wherein the polyamine is one of the following:

#### TABLE VII

- Diethylenetriamine Oligomer E(i)
- Pentaethylenehexamine Oligomer E(ii)

## a. Preparation of the Intermediate Linker

The intermediate linker was prepared as described in Example 4-A-1-a substituting a 3' amino modifier with a six carbon linker (Clonetech, Palo Alto, CA) for the 3'-amino modifier (with a three carbon linker.

## Preparation of Polyamine Functionalized Oligonucleotide

The polyamine functionalized oligonucleotide was prepared in accordance with Example 4-A-1-b. The resulting polyamine-oligonucleotide conjugate was characterized by reverse phase HPLC and a 20% denaturing gel. Solvent A was 50 mM TEAA, solvent B was CH<sub>3</sub>CN. The HPLC gradient was from 0-10 mins, 95% solvent A, 5% solvent B; linear increase to 25% solvent B in the next 50 minutes using a Water's Delta-Pak C-18 reverse phase column. HPLC retention times were as set forth in Table 8.

#### TABLE VIII

	Oligomer	Retention Time
	untreated E	41.38 mins
25	Oligomer E(i)	43.29 mins
	Oligomer E(ii)	43.43 mins

Gel analysis showed progressively slower migration times for the polyamine conjugates (the larger the polyamine, the slower the migration) versus the oligonucleotide alone.

30 (Gel: 353-35).

## 4. 3' - Terminus Polyamine Conjugate III

Polyamines were attached to the 3'-terminus end of a phosphorothicate oligonucleotide having the sequence A-

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polyamine [(SEQ ID NO:7)-polyamine] where the polyamine is one of the following:

#### TABLE IX

	- 1,6 Diaminohexane	Oligomer A(i)
5	- Diethylenetriamine	Oligomer A(ii)
	- Triethylenetetramine	Oligomer A(iii)
	- Spermine	Oligomer A(iv)
	- Pentaethylenehexamine	Oligomer A(v)

## a. Preparation of the Intermediate Linker

The intermediate linker was prepared as descried in Example 4-A-1-a utilizing the Beaucage reagent (3H-1,2-benzodithioate-3-one 1,1-dioxide, Radhakrishnan, et al., J. Am. Chem. Soc. 1990, 112, 1253) to form the phosphorothioate internucleotide backbone. The 3'-aminolinker was introduced as described in example 4-A-1-a.

## b. Preparation of Polyamine Functionalized Oligonucleotide

Oligonucleotides were functionalized as described in Example 4-A-1-b. The resulting polyamine-oligonucleotide conjugate was characterized by reverse phase HPLC and a 20% denaturing gel. Solvent A was 50 mM TEAA, solvent B was CH3CN. The HPLC gradient was from 0-10 mins, 95% solvent A, 5% solvent B; linear increase to 40% solvent B in the next 50 minutes using a Water's Delta-Pak C-18 reverse phase column. HPLC retention times were as set forth in Table X.

## TABLE X

	Oligomer	Retention Time
	unreacted A	30.77 mins
	Oligomer A(iii)	31.31 mins
30	Oligomer A(v)	31.02 mins

In a second test run under the same conditions, the HPLC gradient was 0-10 mins, 95% solvent A, 5% solvent B;

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linear increase to 15% solvent B in 60 minutes. Retention times were as set forth in Table XI.

#### TABLE XI

	Oligomer	Retention Time
5	untreated A	68.62 mins
	Oligomer A(i)	68.70 mins
	Oligomer A(ii)	68.69 mins

In a second test run under the same conditions, HPLC retention times were as set forth in Table XII.

10 TABLE XII

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Oligomer	Retention Time
untreated A	30.34 mins
Oligomer A(iv)	30.57 mins
Oligomer A(v)	30.72 mins

Gel analysis showed progressively slower migration times for the polyamine conjugates (the larger the polyamine, the slower the migration) versus the oligonucleotide alone. (Test run 1 Gel, 313-82; Test run 2 Gel, 285-138; Test run 3 Gel, 353-57)

c. Preparation of Biotin Functionalized
Oligonucleotide Polyamine Conjugate

To further characterize the oligonucleotide polyamine biotin was attached to the free amines made conjugate, available by the polyamines attached in Example 4-A-4-b. About 25 10 O.D. units  $(A_{260})$  of Oligomers A(i) and A(ii) (approximately 58 nmoles) were dried in a microfuge tube. The oligonucleotide polyamine conjugate was rehydrated in 400 ul of 0.2 M NaHCO3 (pH 8.1) buffer and D-biotin-N-hydroxysuccinimide ester (approximately 5.0 mgs biotin for the 1,6 Diaminohexane 30 conjugate, 8.0 mgs for the Diethylenetriamine) (Sigma) was added followed by 200 ul of DMF. The solution was left to react overnight at room temperature. The solution was then passed over a NAP-25 column and analyzed by reverse phase HPLC. Solvent A was 50 mM TEAA and solvent B was CH3CN. 35 gradient was 0-10 mins, 95% A, 5% B; linear increase to 40% B minutes using a Water's Delta-Pak C-18, in the next 50

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reverse phase column. The HPLC retention times were as set forth in Table XIII.

#### TABLE XIII

	Oligomer		Retent	cion Time
5	untreated	A	30.77	mins
	Oligomer :	A(i)	31.31	mins
	Oligomer :	A(i)-Biotin	35.56	mins
	Oligomer :	A(ii)	31.02	mins
	Oligomer A	A(ii)-Biotin	36.23	mins

## 10 5. 3' - Terminus Polyamine Conjugate IV

Polyamines were attached to the 3'-terminus end of the phosphodiester oligonucleotide having the sequence B-polyamine [(SEQ ID NO: 7)-polyamine] wherein the polyamine is one of the following:

15 TABLE XIV

-	Diethylenetriamine	Oligomer	B(i)
-	Triethylenetetramine	Oligomer	B(ii)
-	Spermine	Oligomer	B(iii)
_	Pentaethylenehexamine	Oligomer	B(iv)

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  a. Preparation of the Intermediate Link r

  The intermediate linker was prepared as described in Example 4-A-1-a.
  - b. Preparation of Polyamine Functionalized Oligonucleotide
- The oligonucleotide was functionalized with polyamines as described in Example 4-A-1-b. The resulting polyamine-oligonucleotide conjugate was characterized by reverse phase HPLC and a 20% denaturing gel. Solvent A was 50 mM TEAA, solvent B was CH<sub>3</sub>CN. The HPLC gradient was from 0-10 mins, 95% solvent A, 5% solvent B; linear increase to 40% solvent B in the next 50 minutes using a Water's Delta-Pak C-18 reverse

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phase column. HPLC retention times were as set forth in Table XV.

#### TABLE XV

	Oligomer	Retention Time
5	untreated B	25.71 mins
	Oligomer B(i)	26.11 mins
	Oligomer B(ii)	25.26 mins
	Oligomer B(iii)	25.10 mins
	Oligomer B(iv)	25.12 mins

Gel analysis showed progressively slower migration times for the polyamine conjugates (the larger the polyamine, the slower the migration) versus the oligonucleotide alone.

(Gel: 313-112)

### B. 2' Internal Polyamine Labeled Oligonucleotide

1. 2'-Internal Polyamine Oligonucleotid I

Polyamines were attached to the 2'-internal linker site of a phosphodiester oligonucleotide having the sequence C-polyamine [(SEQ ID NO: 8)-polyamine] wherein the polyamine is one of the following:

20 TABLE XVI

- Diethylenetriamine Oligomer C(i)
   Triethylenetetramine Oligomer C(ii)
   Pentaethylenehexamine Oligomer C(iii)
- a. Preparation of the Intermediate Linker

  The intermediate linker was prepared as described in Example 4-A-1-a incorporating a modified adenosine phosphoramidite (with a 2'- aminolinker) at position #9. This oligonucleotide and the 2'-amino linker have been described in Manoharan, et al., Tetrahedron Letters 1991, 32, 7171.
- b. Preparation of Polyamine Functionalized Oligonucleotide

The oligonucleotide was functionalized as described in Example 4-A-1-b. The resulting polyamine-oligonucleotide conjugate was characterized by reverse phase HPLC and a 20% denaturing gel. Solvent A was 50 mM TEAA, solvent B was CH<sub>3</sub>CN. The HPLC gradient was from 0-10 mins, 95% solvent A, 5% solvent

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B; linear increase to 40% solvent B in the next 50 minutes using a Water's Delta-Pak C-18 reverse phase column. HPLC retention times were as set forth in Table XVII.

#### TABLE XVII

5	Oligomer	Retention Time
	untreated C	26.20 mins
	Oligomer C(i)	27.52 mins
	Oligomer C(ii)	27.50 mins
	Oligomer C(iii)	27.59 mins

- Gel analysis showed progressively slower migration times for the polyamine conjugates (the larger the polyamine, the slower the migration) versus the oligonucleotide alone.

  (Gel: 313-97)
- C. 3' Terminus Polyamine End Labeled
  Oligonucleotide, Using a 2'-aminolinker
  - 1. 3' Terminus Polyamine Labeled Oligonucleotide I, Using a 2'-aminolinker

Polyamines were attached to the 3'-terminus end of a phosphodiester (2'-OMe) oligonucleotide via a 2'- aminolinker having the sequence F-polyamine [(SEQ ID NO:10)-polyamine) wherein the polyamine is pentaethylenehexamine (oligomer F(i)).

a. Preparation of the Intermediate Linker

The intermediate linker was prepared as described in Example 4-A-1-a, except that a modified cytosine CPG (with a 2'-25 propylaminolinker) was introduced at the 3' end. The 2'-modification can be prepared by modification of the procedure previously described in Application Serial No. 918,362 filed July 23, 1992. The CPG containing 2'-ω-phthalimido-propoxy-cytidine was synthesized according to the standard protocols reported in the literature. See, for example, B. S. Sproat and A.I. Lamond, in "Oligonucleotides and Analogues" edited by F. Eckstein , IRL Press at Oxford University Press (1991) p71-72.

- b. Preparation of Polyamine Functionalized Oligonucleotide
- The polyamine functionalized oligonucleotide was prepared in accordance with Example 4-A-1-b. The resulting polyamine-oligonucleotide conjugate was characterized by

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reverse phase HPLC and a 20% denaturing gel. Solvent A was 50mM TEAA, solvent B was CH<sub>3</sub>CN. The HPLC gradient was from 0-10 mins, 95% solvent A, 5% solvent B; linear increase to 40% solvent B in the next 50 minutes using Water's Delta-Pak C-18 reverse phase column. HPLC retention times were as set forth in Table XVIII.

#### TABLE XVIII

Oligomer Retention Time
unreacted F 28.53 mins
oligomer F(i) 29.47 mins

Gel analysis showed progressively slower migration times for the polyamine conjugate versus the oligonucleotide alone. (Gel: 397-85)

2. 3' Terminus Polyamine Labeled
 Oligonucleotide II, Using a 2'-aminolinker

Polyamines were attached to the 3'-terminus end of a phosphodiester (2'-OMe) oligonucleotide via a 2'- aminolinker having the sequence G-polyamine [(SEQ ID NO:11)-polyamine] wherein the polyamine is pentaethylenehexamine (oligomer G(i)).

a. Preparation of the Intermediate Linker

The intermediate linker was prepared in accordance
with the method described in Example 4-A-1-a.

# b. Preparation of Polyamine Functionalized Oligonucleotide

25 The polyamine functionalized oligonucleotide was prepared in accordance with the procedures described in Example 4-A-1-b. The resulting polyamine-oligonucleotide conjugate was characterized by reverse phase HPLC and a 20% denaturing gel. Solvent A was 50mM TEAA, solvent B was CH<sub>3</sub>CN. The HPLC gradient was from 0-10 mins, 95% solvent A, 5% solvent B; linear increase to 40% solvent B in the next 50 minutes using Water's Delta-Pak C-18 reverse phase column. HPLC retention times were as set forth in Table XIX.

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#### TABLE XIX

Olig mer

Retenti n Time

unreacted G

28.43 mins

oligomer G(i)

29.06 mins

Gel analysis showed progressively slower migration times for the polyamine conjugate versus the oligonucleotide alone. (Gel: 397-85)

3. 3' Terminus Polyamine Labeled Oligonucleotide III Using a 2'-aminolinker

Polyamines were attached to the 3'-terminus end of a phosphodiester (2'-OMe) oligonucleotide via a 2'- aminolinker having the sequence H-polyamine [(SEQ ID NO:12)-polyamine] wherein the polyamine is pentaethylenehexamine.

a. Preparation of the Intermediate Linker

The intermediate linker is prepared in accordance with

methods described in Example 4-A-1-a.

b. Preparation of Polyamin Functionalized Oligonucleotide

The polyamine functionalized oligonucleotide is prepared in accordance with methods described in Example 4-A-1-b. The resulting polyamine-oligonucleotide conjugate was characterized by reverse phase HPLC and a 20% denaturing gel. Solvent A was 50mM TEAA, solvent B was CH<sub>3</sub>CN. The HPLC gradient was from 0-10 mins, 95% solvent A, 5% solvent B; linear increase to 40% solvent B in the next 50 minutes using Water's Delta-Pak C-18 reverse phase column. HPLC retention times were as set forth in Table XX.

#### TABLE XX

Oligomer

Retention Time

30

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unreacted H

28.49 mins

oligomer H(i)

30.36 mins

Gel analysis showed progressively slower migration times for the polyamine conjugate versus the oligonucleotide alone. (Gel: 397-85)

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Polyamine Labeled 2'-OMe Oligonucleotides and Other RNA Mimics

## A. Polyamine Labeled 2'-OMe Oligonucle tide I

Polyamines were attached to the 3'-terminus end of a phosphodiester (2'-OMe) oligonucleotide (via a 3 carbon linker)

5 having the sequence I-polyamine [(SEQ ID NO:13)-polyamine) wherein the polyamine is pentaethylenehexamine (oligomer I(i)).

### 1. Preparation of the Intermediate Linker

The intermediate linker is prepared in accordance with methods described in Example 4-A-1-a.

2. Preparation of Polyamine Functionalized Oligonucleotide

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 $(x,y) = (x_1,x_2,\dots,x_n) \in \mathcal{A}$ 

The polyamine functionalized oligonucleotide is prepared in accordance with methods described in Example 4-A-1-b. The resulting polyamine-oligonucleotide conjugate was characterized by reverse phase HPLC and a 20% denaturing gel. Solvent A was 50mM TEAA, solvent B was CH3CN. The HPLC gradient was from 0-10 mins, 95% solvent A, 5% solvent B; linear increase to 40% solvent B in the next 50 minutes using Water's Delta-Pak C-18 reverse phase column. HPLC retention times were as set forth in Table XXI.

#### TABLE XXI

Oligomer	Retention Time
unreacted I	28.93 mins
oligomer I(i)	29.59 mins

Gel analysis showed progressively slower migration times for the polyamine conjugate versus the oligonucleotide alone. (Gel: 353-156)

## B. Polyamine Labeled 2'-OMe Oligonucleotide II

Polyamines were attached to the 3'-terminus end of a phosphodiester (2'-OMe) oligonucleotide (via a 6 carbon linker) having the sequence J-polyamine [(SEQ ID NO:13)-polyamine] wherein the polyamine is pentaethylenehexamine (oligomer J(i)).

## 1. Preparation of the Intermediate Linker

The intermediate linker is prepared in accordance with methods described in Example 4-A-1-a.

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# 2. Preparation of Polyamine Functionalized Oligonucleotide

The polyamine functionalized oligonucleotide is prepared in accordance with methods described in Example 4-A-1-5 b. The resulting polyamine-oligonucleotide conjugate was characterized by reverse phase HPLC and a 20% denaturing gel. Solvent A was 50mM TEAA, solvent B was CH3CN. The HPLC gradient was from 0-10 mins, 95% solvent A, 5% solvent B; linear increase to 40% solvent B in the next 50 minutes using Water's Delta-Pak C-18 reverse phase column. HPLC retention times were as set forth in Table XXII.

#### TABLE XXII

Oligomer Retention Time
unreacted J 28.76 mins
oligomer J(i) 29.39 mins

Gel analysis showed progressively slower migration times for the polyamine conjugate versus the oligonucleotide alone. (Gel: 397-85)

## C. Polyamine Labeled 2'-OMe Oligonucleotide III

Polyamines were attached to the 3'-terminus end of a phosphodiester (2'-OMe) oligonucleotide (via a 3 carbon linker) having another reporter group(such as biotin, fluorescein) at the other end in the sequence K-polyamine [(SEQ ID NO:7)-polyamine]. Fluorescein at 5' end was added using the required amidite commercially available from Clontech. The polyamine is one of the following

- pentaethylenehexamine oligomer K(i)
- spermine oligomer K(ii)

### 1. Preparation of the Intermediate Linker

The intermediate linker is prepared in accordance with methods described in Example 4-A-1-a.

# 2. Preparation of Polyamine Functionalized Oligonucleotide

The polyamine functionalized oligonucleotide is prepared in accordance with methods described in Example 4-A-1-b. The resulting polyamine-oligonucleotide conjugate was characterized by reverse phase HPLC and a 20% denaturing gel.

Solvent A was 50mM TEAA, solvent B was CH<sub>3</sub>CN. The HPLC gradient was from 0-10 mins, 95% solvent A, 5% solvent B; linear increase to 40% solvent B in the next 50 minutes using Water's Delta-Pak C-18 reverse phase column. HPLC retention 5 times were as set forth in Table XXIII.

#### TABLE XXIII

	Oligomer	Retention Time
	unreacted K	31.35 mins
	oligomer K(i)	31.96 mins
10	oligomer K(ii)	32.15 mins

Gel analysis showed progressively slower migration times for the polyamine conjugate versus the oligonucleotide alone. (Gel: 353-149)

#### EXAMPLE 6

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## 15 5' Terminus Polyamine End Labeled Oligonucleotide

## A. 5'-Terminus Polyamine Oligonucleotide I

Polyamines were attached to the 5'-terminus end of a phosphodiester oligonucleotide having the following sequences:

- 5'-aminolinker-TCAG (oligomer L)
- 20 -5'-aminolinker-CGCACGC (oligomer M) to provide the polyamine oligonucleotides:
  - 5'-polyamine-TCAG (oligomer L(i))
  - 5'-polyamine-CGCACGC (oligomer M(i)) wherein the polyamine is pentaethylenehexamine.

## 25 1. Preparation of the Intermediate Linker

The oligonucleotide sequence having a 5'-terminus amino group was synthesized using Aminolink-II(with a six carbon linker) phosphoramidite from Applied Biosystems in the last round of synthesis. The synthesis was conducted with an Applied Biosystems 380B or 994 in the "Trityl-On" mode. The resultant oligonucleotide was cleaved from the solid support and deprotected with concentrated NH<sub>4</sub>OH for 16 hrs at 55° C. Purification on a Sephadex G-25 column yielded a 5'-amino modified oligonucleotide of the specified sequence.

7 : 31 : : : : :

# 2. Preparation of Polyamine Functionalized Oligonucl otide L(i)

The crude 5'-aminolinker-oligonucleotide (150 O.D. units, approximately 3.75 mmols) was dissolved in freshly 5 prepared NaHCO, buffer (900 ul, 0.2 M, pH 8.1) and treated with a solution of disuccinimidyl suberate (DSS) (approximately 30 mgs) dissolved in 750 ul of methyl sulfoxide (DMSO). The reaction mixture was left to react for 20 minutes at room temperature. The mixture was divided into three portions and 10 then passed over a Sephadex G-25 column (0.7 x 45 cmx3columns) to separate the activated oligonucleotide-DSS from the excess DSS. The oligonucleotide-DSS was then frozen immediately and lyophilized to dryness. A solution of polyamine in 0.33 M NaOAc (approximately 60 mL polyamine in 1950ul 0.33 M NaOAc, 15 pH 5.2, final solution pH 6-8.0) was added to the dried oligonucleotide-DSS, and this mixture was allowed to react overnight at room temperature.

# 3. Preparation of Polyamine Functionalized Oligonucleotide M(i)

The crude 5'-aminolinker-oligonucleotide (oligomer M) (150 O.D. units, approximately 2.50) was reacted as described in Example 6(b).

# 4. Characterization of 5' Polyamine Functionalized Oligonucleotides

The resulting polyamine-oligonucleotide conjugates were characterized by reverse phase HPLC and a 20% denaturing gel. Solvent A was 50mM TEAA, solvent B was CH3CN. The HPLC gradient was from 0-10 mins, 95% solvent A, 5% solvent B; linear increase to 40% solvent B in the next 50 minutes using Water's Delta-Pak C-18 reverse phase column. HPLC retention times were as set forth in Table XXIV.

#### TABLE XXIV

	Oligomer	Retention Time
	unreacted L	22.78 mins
35	oligomer L(i)	28.27 mins
	unreacted M	24.50 mins
	oligomer M(i)	26.72 mins

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#### EXAMPLE 7

## Preparation of a Reactive Site containing Oligonucleotid

An oligonucl otide having the sequence TGGGAGCCATAGCGAGGUCT (SEQ ID NO: 14) is treated with uracil DNA 5 glycosylase followed by T4 endonuclease. The product is then treated with 1-phthalimidobutyl-4-thiol. Nucleophilic attack by the thiol with the protected aminobutyl moiety results in addition to what was the 3' position of the opened nucleotide. Treatment of this composition with hydrazine will deblock the 10 phthalimide yielding an amino species which is then treated with bifunctional linker followed by treatment with an appropriate polyamine species as per Example 4-A-1-b.

#### EXAMPLE 8

## Preparation of Polyamine Conjugated Oligonucleotide

An oligonucleotide is prepared as described in Example 7 treating the product with NH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-SH. The thiol group will attack the double bond of the opened nucleotide. The resulting amine may then be further derivatized with a reactive group.

### 20 EXAMPLE 9

A. 18 19 24 24 24 24

Thermodynamic Parameters of Oligoamine-Oligonucleotide Conjugates with DNA and RNA Targets

The ability of the functionalized oligonucleotides of the invention to hybridize to their complementary RNA or DNA 25 sequences is determined by thermal melting analysis. The RNA complement is synthesized from T7 RNA polymerase and a DNA synthesized with Applied template-promoter of Biosystems, Inc. 380B nucleic acid synthesizer. The RNA species is purified by ion exchange using FPLC (LKB Pharmacia, 30 Inc.) or by denaturing urea-PAGE. Natural antisense oligonucleotides or those containing functionalization at specific locations are added to either the RNA or DNA complement at stoichiometric concentrations to form hybrid duplexes. The absorbance (260 nm) hyperchromicity dependence 35 on temperature upon duplex to random coil transition is New March 1987

monitored using a Gilford Response II spectrophotometer. These measurements are performed in a buffer of 10 mM Na-phosphate, pH 7.4, 0.1 mM EDTA, and NaCl to yield an ionic strength of either 0.1 M or 1.0 M. Data are analyzed by a graphic 5 representation of  $1/T_m$  vs ln[Ct], where [Ct] is the total oligonucleotide concentration. From this analysis the thermodynamic parameters are determined. Based upon the information gained concerning the stability of the duplex or hetero-duplex formed, the placement of the polyamines into 10 oligonucleotides is assessed for its effects on helix stability. Modifications that drastically alter the stability of the hybrid exhibit reductions or enhancements in the free energy (delta G) and decisions concerning their usefulness in antisense oligonucleotides are made.

TABLE XXV

		DNA TARGET	GET		RNA TARGET	RGET	
	01igomer	T <sub>m</sub> (°C)	$\Delta T_{m}(^{\circ}C)$	AAG" 37°C	$T_n(^{\circ}C)$	$\Delta T_{m}($ °C)	AAG 37.c
	wild type oligomer D	9.09	ı	ı	64.9	ı	ı
S	oligomer D	60.3	-0.3	+0.3	64.6	-0.3	0.0
	oligomer D + 5'- 6-carbon amino linker)	8.09	+0.2	0.0	65.1	+0.2	0.0
	oligomer E	8.09	+0.2	8.0-	65.8	+0.9	-1.0
	oligomer E(i)	61.2	9.0+	-1.4	66.3	+1.4	-1.9
10	oligomer E + spermine	61.5	6.0+	-1.7	67.1	+2.2	-2.1
	oligomer E(ii)	61.2	9.0+	-1.3	67.5	+2.6	-2.6

#### EXAMPLE 10

Conjugation of Polyamines to Abasic Sit -Containing Oligonucleotides

To 15.2 ODS of an abasic oligonucleotide (SEQ ID NO: 5 4) in 100 μl water was added 25 μl 1M NaOAc (pH 5.0) solution. The final concentration of the acetate buffer was 0.2 M. 5.3 mg of triethylenetetramine was dissolved in 500 μl of 1M NaOAc (pH 5.0) solution. 50 μl of the resulting solution was added to the oligonucleotide solution followed by 50 μl of NaCNBH<sub>3</sub> 10 (57 MM solution). The pH of the resulting solution was below 8.0. The solution was vortexed and left to stand overnight. HPLC and Gel analysis indicated conjugation of the triethylenetetramine to the oligonucleotide. The conjugated oligonucleotide was purified by G-25 and HPLC. HPLC retention 15 times are set forth in Table XXVI.

#### TABLE XXVI

	Oligomer Rete	ntion time (mins)
	parent oligonucleotide (SEQ ID NO:3)	26.66
20	abasic oligonuclectide (SEQ ID NO:4)	26.16
	(SEQ ID NO:4)-triethylenetetramine conjugate	26.04

### EXAMPLE 11

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## 25 Oligonucleotide Synthesis

Oligonucleotides of the following sequences were synthesized with an Applied Biosystems 380B or 994 in the "Trityl On" mode. The resultant oligonucleotides were cleaved from the solid support and deprotected with concentrated NH4OH 30 for 16 hour at 55°C. HPLC purification with a Water's Delta-Pak C-18, reverse phase column followed with the given gradient: Solvent A: 50 mM TEAA, pH=7.4; Solvent B: CH3CN; 0-10 mins., 95% A, 5% B; linear increase to 60% B in the next fifty mins. The full-length, DMT-on oligonucleotide was 35 separated from the impurities. Treatment with 80% acetic acid

removed the DMT. A final run over a Sephadex G-25 column yielded pure oligonucleotides of the specified sequences.

CGC AGU CAG CC (SEQ ID NO:3)
GAU CT (SEQ ID NO:15)

### 5 EXAMPLE 12

#### Abasic Site Generation

To generate an abasic site at the uracil position in the sequences prepared in Example 11, uracil DNA glycosylase was added to the oligonucleotides (approximate 10 ratio 100 O.D. oligonucleotide to 100 "units" enzyme). This was left to react overnight at room temperature. HPLC analysis (HPLC gradient was as follows: Solvent A:50 mM TEAA, pH=7.4; Solvent B: CH<sub>3</sub>CN; O-10 mins., 95% A, 5% B; linear increase to 15% B in the next fifty mins. HPLC column: Water's 15 Delta-Pak C-18, reverse phase) shows a small excess uracil peak at 2.58 minutes and the oligonucleotides with the abasic site at 33.38 minutes.

CGC AGN CAG CC (SEQ ID NO:4)
GAN CT (SEQ ID NO:16)

20 (N = abasic site)

#### EXAMPLE 13

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Conjugation To Oligonucleotides Containing Abasic Sites

A. Oligonucleotide Having SEQ ID NO: 4.

The oligonucleotide having sequence CGC AGN CAG CC 25 (SEQ ID NO:4) was divided into 25 O.D. unit samples for conjugation. A 50  $\mu$ l portion of 1M NaOAc was added to each of these samples (25 O.D./100  $\mu$ l of HPLC grade water) to assure a low pH. The following solutions were made:

		TABI	LE XXVII				
	Ligand		g/ul pH=5.0)	1M 1	NaOAc	DMP	
5	6-((biotinoyl)amino) caproic acid hydrazide	5	mg	300	μ1	100	μ1
	fluorescein-5- thiosemicarbazide	5	mg	200	μ1	100	μ1
	Lys-Tyr-Lys(tripeptide)	5	mg	200	μ1		
	Lys-Trp-Lys(tripeptide)	5	mg	200	μ1		
10	triethylenetetramine (TEA)	5	mg	200	μ1	•	
	pentaethylenehexamine (PEHA)	5	mg	200	$\mu$ l		
	5-amino-O-phenanthroline	5	mg	200	$\mu$ l	100	μ1
15	1-pyrene-butyryl- hydrazide	5	mg	200	μ1	100	μ1
	PEG-hydrazide (methoxy polyestylene glycol-carboxymethyl hydrazide)	5	mg	200	μ1		

A 100 μl portion of each of the solutions given in Table XXVII was added to the oligonucleotide solutions; 5 mgs of PEG-hydrazide were added directly. After about period of 15 minutes, 100 μl of a NaCNBH<sub>3</sub> solution (0.20 M NaBH<sub>3</sub>CN in 0.25 M NaOAc) was added to each of the reactions. The 25 reaction mixtures were then put on a vortex-shaker and left overnight at room temperature. The conjugates were then analyzed by HPLC and 20% PAGE gel, indicating formation of the conjugate. The results are shown in Table XXVIII.

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#### TABLE XXVIII

	Olig	<b>30.</b> /	/Conjugate	HPLC Program	Retention Time (min.)	Yield (O.D.)
	SEQ	ID	NO:3	biohr	33.09	
5	SEQ	ID	NO:4	biohr	31.55	
	SEQ	ID	NO:4+biotin	biohr	40.51	7.5
	SEQ	ID	NO:4+fluorescein	biohr	46.81	1.6
	SEQ	ID	NO:4+Lys-Trp-Lys	biohr	36.66	6.1,
	SEQ	ID	NO:4+o-phenanthroli	ne biohr	36.66	19.1
10	SEQ	ID	NO:4+pyrene	biohr	54.29	23.2
	SEQ	ID	NO:4+TEA	biohr	31.81	5.9
	SEQ	ID	NO:3	anal.	17.59	
	SEQ	ID	NO:4	anal.	17.30	
	SEQ	ID	NO:4+PEHA	anal.	17.34	1.8
15	SEQ	ID	NO:4+Lys-Tyr-Lys	anal.	17.37	8.6
	SEQ	ID	NO:4+PEG	anal.	36.00	9.3

The "biohr" HPLC gradient was as follows: Solvent A: 50 mM TEAA, pH=7.4; solvent B: CH<sub>3</sub>CN; 0-10 mins.,95% A, 5% B; linear increase to 15% B in the next fifty mins. HPLC column: Water's Delta-Pak C-18, reverse phase. The "anal." HPLC gradient was as follows: Solvent A: 50 mM TEAA, pH=7.4; Solvent B: CH<sub>3</sub>CN; 0-10 mins.,95% A, 5% B; linear increase to 60% B in the next fifty mins. HPLC column: Water's Delta-Pak C-18, reverse phase.

## B. Oligonucleotide Having SEQ ID NO:16.

The oligonucleotide having sequence GANCT (Seq. ID No. 16) (40 ODS) was treated in 100  $\mu$ L of 1M NaOAC solution followed by 10 mg of 5-amino-O-phenanthroline dissolved in 200  $\mu$ L of 1M NaOAC. After about 15 mts., 100  $\mu$ L of a NaCNBH<sub>3</sub> 30 solution (0.2M NaBH<sub>3</sub>CN in 0.25M NaOAC) was added to the reaction and allowed to stand overnight. The conjugate was purified by size exclusion and reverse-phase HPLC.

#### TABLE XXIX

	HPLC Data		HPLC program	Retention Time (min.)
	SEQ ID NO: 15	I-6839	biohr	32.39
5	S SEQ ID NO: 16	I-6839-D	biohr	27.25
		I-6839-OP	biohr	47.87

The NMR spectra show the formation of the abasic site and the conjugate between phenathroline ligand and the pentamer oligonucleotide. The final product is a homogeneous 10 single product with no DNA fragmentation or 1,4-addition products evidenced.

<sup>1</sup>H NMR analysis showed the following peaks: In the case of 6839 and 6839D, peaks between 7.4 and 8.4; whereas in 6839-OP peaks between 7.0 and 8.8 (protons from O-15 phenanthroline). In other words, 6839-OP conjugate showed the combination spectrum of Sequence 16 and O-phenanthroline. In <sup>31</sup>P NMR dispersion of signals was higher for the conjugate (-0.7 to 0.4 ppm) than the Sequence 16.

#### EXAMPLE 14

To further derivatize the oligonucleotide-polyamine conjugate, imidazole-4-acetic acid is attached to the free amines made available by the polyamines attached in Example 4-A-4-b.

Imidazole-4-acetic acid is treated with 2,4-dinitro25 fluorobenzene. The product is treated with pentafluorophenol/DCC to give the active ester of imidazole-4-

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acetic acid, which is also protected in the imidazole ring by a DNP group (Compound 13).

The oligonucleotide-polyamine conjugate is reacted with Compound 13 in 0.2M NaHCO<sub>3</sub> buffer/DMF. The product, 5 oligonucleotide-polyimidazole conjugate, then is treated with mercapto ethanol to remove the DNP group, and then is purified by size exclusion and HPLC methods.

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## SEQUENCE LISTING

- (1) GENERAL INFORMATION:
  - (i) APPLICANTS: Manoharan, Muthiah Phillip D. Cook
  - (ii) TITLE OF INVENTION: NOVEL AMINES AND METHODS OF MAKING AND USING THE SAME
  - (iii) NUMBER OF SEQUENCES: 16
    - (iv) CORRESPONDENCE ADDRESS:
      - (A) ADDRESSEE: Woodcock Washburn Kurtz Mackiewicz and Norris
      - (B) STREET: One Liberty Place 46th Floor
      - (C) CITY: Philadelphia
      - (D) STATE: PA
      - (E) COUNTRY: U.S.A.
      - (F) ZIP: 19103
      - (V) COMPUTER READABLE FORM:
        - (A) MEDIUM TYPE: Floppy disk
        - (B) COMPUTER: IBM PC compatible
        - (C) OPERATING SYSTEM: PC-DOS/MS-DOS
        - (D) SOFTWARE: PatentIn Release #1.0, Version #1.25
  - (vi) CURRENT APPLICATION DATA:
    - (A) APPLICATION NUMBER:
    - (B) FILING DATE:
    - (C) CLASSIFICATION:
  - (viii) ATTORNEY/AGENT INFORMATION:
    - (A) NAME: Gaumond, Rebecca R.
    - (B) REGISTRATION NUMBER: 35,152
    - (C) REFERENCE/DOCKET NUMBER: ISIS-1171
    - (ix) TELECOMMUNICATION INFORMATION:
      - (A) TELEPHONE: 215-568-3100
      - (B) TELEFAX: 215-568-3439
- (2) INFORMATION FOR SEQ ID NO:1:
  - (i) SEQUENCE CHARACTERISTICS:
    - (A) LENGTH: 18 base pairs
    - (B) TYPE: nucleic acid
    - (C) STRANDEDNESS: single
    - (D) TOPOLOGY: linear
  - (ii) MOLECULE TYPE: DNA (genomic)
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		- 57 -	
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<b>-</b> 58 <b>-</b>	
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Specifical Advantages

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  - (B) LOCATION: 11
  - (D) OTHER INFORMATION: /note= "2'deoxyuridine residue"
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  - (D) OTHER INFORMATION: /note= "2'deoxyuridine residue"
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  - (B) LOCATION: 21
  - (D) OTHER INFORMATION: /note= "2'-aminopropoxy cytosine"
- (xi) SEQUENCE DESCRIPTION: SEQ ID NO:12:

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21

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    - (D) TOPOLOGY: linear
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GAUCT

5

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2-1-15

5

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#### WHAT IS CLAIMED IS:

1. A compound having the structure:

wherein R<sub>1</sub> and R<sub>2</sub> are independently H, a nucleotide, an oligonucleotide or an amine-containing species, and at least one of  $R_1$  and  $R_2$  is a purine containing oligonucleotide,  $R_3$  is a linear or cyclic amine-containing species, and X is H, O- $R_{11}$ ,  $S-R_{11}$ , F, C1, Br, CN,  $CF_3$ ,  $OCF_3$ , OCN,  $SOCH_3$ ,  $SO_2CH_3$ ,  $ONO_2$ , heterocylcoalkyl, heterocycloalkaryl,  $N_3$ , aminoalkylamino, polyalkylamino, substituted silyl, a reporter molecule, a RNA cleaving group, a group for improving the 10 pharmacokinetic properties of an oligonucleotide, or a group improving the pharmacodynamic properties oligonucleotide wherein R<sub>11</sub> is H, C<sub>1</sub> to C<sub>10</sub> straight or branched chain lower alkyl or substituted lower alkyl, C2 to C10 straight or branched chain lower alkenyl or substituted lower alkenyl, C3 to C10 straight or branched chain lower alkynyl or substituted lower alkynyl, a "C containing lower alkyl, lower alkenyl or lower alkynyl, C7 to C14 substituted or unsubstituted alkyaryl or aralkyl, a 14C containing C7 to C14 alkaryl or aralkyl, alicyclic, heterocyclic, a reporter 20 molecule, a RNA cleaving group, a group for improving the pharmacokinetic properties of an oligonucleotide or a group improving the pharmacodynamic properties of for oligonucleotide.

- 2. The compound of claim 1 wherein the aminecontaining species comprises at least one nitrogen atom having a free electron pair.
- 3. The compound of claim 1 wherein the  $R_1$  and  $R_2$  are each oligonucleotides.

- 4. The compound of claim 1 further including one or more of reporter groups, alkylating agents, intercalating agents, cell receptor binding molecules, steroids, peptides, crown amines, porphyrins or cross-linking agents attached to at least one of the nitrogen atoms of said amine-containing species.
  - 5. The compound of claim 1 in a pharmaceutically acceptable carrier.
    - 6. A compound having the structure:

- 10 wherein  $R_4$  is an oligonucleotide and M is a pendent group having an amine-containing species attached thereto.
  - 7. The compound of claim 6 wherein M is  $R_7S^-$  or  $R_7NH$  wherein  $R_7$  is an amine-containing species.
- 8. The compound of claim 7 wherein the amine15 containing species comprises at least one nitrogen atom having a free electron pair.
- 9. The compound of claim 6 further including one or more of reporter groups, alkylating agents, intercalating agents, cell receptor binding molecules, steroids, peptides, crown amines, porphyrins, or cross-linking agents attached to at least one of the nitrogen atoms of said amine-containing species.
  - 10. The compound of claim 6 in a pharmaceutically acceptable carrier.

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11. A compound having the structure:

wherein R<sub>4</sub> is an oligonucleotide, R<sub>5</sub> is a linear or cyclic non-aromatic amine-containing species containing only non-amide nitrogen atoms, and R<sub>6</sub> is H, a purine heterocycle or a pyrimidine heterocycle.

- 12. The compound of claim 11 wherein the amine-containing species comprises at least one nitrogen atom having a free electron pair.
- or more of reporter groups, alkylating agents, intercalating agents, cell receptor binding molecules, steroids, peptides, crown amines, porphyrins, or cross-linking agents attached to at least one of the nitrogen atoms of said amine-containing species.
- 14. The compound of claim 11 in a pharmaceutically acceptable carrier.
  - 15. A compound having the structure:

wherein B is a purine or pyrimidine heterocyclic base,  $R_8$  and  $R_9$  are independently H,  $PO_2$ , a nucleotide, an oligonucleotide or an amine-containing species, and at least one of  $R_8$  and  $R_9$  is a purine containing oligonucleotide; and at least one of  $R_8$ ,  $R_9$  and A is a species comprising the formula  $L_1-L_2-L_3$ 

polyamine wherein  $L_1$  is an amino linker and  $L_2$  is a heterobifunctional linker;

wherein if R<sub>8</sub> is not a purine containing oligonucleotide or polyamine species, then R<sub>8</sub> is a nucleotide or PO<sub>2</sub>-; if R<sub>9</sub> is not a purine containing oligonucleotide or polyamine species, then R<sub>9</sub> is H or a nucleotide; and if A is not a polyamine species then A is H or OH.

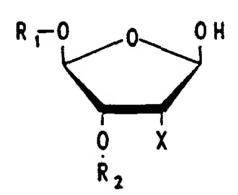
- 16. The compound of claim 15 wherein the aminecontaining species comprises at least one nitrogen atom having 10 a free electron pair.
- or more of reporter groups, alkylating agents, intercalating agents, cell receptor binding molecules, steroids, peptides, crown amines, porphyrins, or cross-linking agents attached to at least one of the nitrogen atoms of said amine-containing species.
  - 18. The compound of claim 15 in a pharmaceutically acceptable carrier.
- 19. A method of preparing a composition having the 20 structure:

wherein R<sub>1</sub> and R<sub>2</sub> are independently H, a nucleotide, an oligonucleotide, or an amine-containing species and at least one of R<sub>1</sub> and R<sub>2</sub> is a purine containing oligonucleotide, R<sub>3</sub> is a linear or cyclic non-aromatic amine-containing species, and X is H, O-R<sub>11</sub>, S-R<sub>11</sub>, F, Cl, Br, CN, CF<sub>3</sub>, OCF<sub>3</sub>, OCN, SOCH<sub>3</sub>, SO<sub>2</sub>CH<sub>3</sub>, ONO<sub>2</sub>, N<sub>3</sub>, HN<sub>2</sub>, heterocylcoalkyl, heterocycloalkaryl, aminoalkylamino, polyalkylamino, substituted silyl, a reporter

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molecule, an RNA cleaving group, a group for improving the pharmacokinetic properties of an oligonucleotide, or a group pharmacodynamic properties improving the for oligonucleotide wherein R, is H, C, to C10 straight or branched chain lower alkyl or substituted lower alkyl, C2 to C10 straight or branched chain lower alkenyl or substituted lower alkenyl, C3 to C10 straight or branched chain lower alkynyl or substituted lower alkynyl, a 14C containing lower alkyl, lower lower alkynyl, C, to C14 substituted or alkenyl or unsubstituted alkyaryl or aralkyl, a 14C containing C7 to C14 alkaryl or aralkyl, alicyclic, heterocyclic, a reporter molecule, a RNA cleaving group, a group for improving the pharmacokinetic properties of an oligonucleotide or a group improving the pharmacodynamic properties for oligonucleotide comprising the steps of:

providing a synthon having the structure:



wherein R<sub>1</sub> and R<sub>2</sub> are independently H, a nucleotide, an oligonucleotide, or an amine-containing species and at least one of R, and R, is a purine containing oligonucleotide, and X is H,  $O-R_{11}$ ,  $S-R_{11}$ , F, Cl, Br, CN,  $CF_3$ ,  $OCF_3$ , OCN,  $SOCH_3$ , SO, CH3, ONO, N3, HN2, heterocylcoalkyl, heterocycloalkaryl, aminoalkylamino, polyalkylamino, substituted silyl, a reporter molecule, an RNA cleaving group, a group for improving the pharmacokinetic properties of an oligonucleotide, or a group improving the pharmacodynamic properties for oligonucleotide wherein R,, is H, C, to C10 straight or branched chain lower alkyl or substituted lower alkyl, C2 to C10 straight or branched chain lower alkenyl or substituted lower alkenyl, C3 to C10 straight or branched chain lower alkynyl or substituted lower alkynyl, a 14C containing lower alkyl, lower lower alkynyl, C<sub>7</sub> to C<sub>14</sub> substituted or alkenyl or unsubstituted alkyaryl or aralkyl, a 14C containing C7 to C14 alkaryl or aralkyl, alicyclic, heterocyclic, a reporter molecule, a RNA cleaving group, a group for improving the pharmacokinetic properties of an oligonucleotide or a group for improving the pharmacodynamic properties of an oligonucleotide;

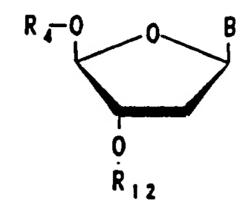
and reacting said synthon with  $-NH(R_3)$ , wherein  $R_3$  is a linear or cyclic non-aromatic amine-containing species, under reducing conditions to yield said compound.

- 20. The method of claim 19 wherein the amine10 containing species has the formula  $H_2N[(CH_2)_nNH]_m$  wherein n is an integer between 2 and 8 and m is an integer between 1 and 10.
- 21. The method of claim 19 wherein the synthon is prepared by reacting an oligonucleotide with an appropriate DNA glycosylase.
  - 22. The method of claim 19 wherein  $R_3$  is a crown amine.
  - 23. The method of claim 19 further comprising complexing said compound with a metal ion.
- 24. A novel compound prepared in accordance with claim 19.
  - 25. A method of preparing a compound having the structure:

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wherein  $R_4$  is an oligonucleotide and M is a pendent group having an amine-containing species attached thereto comprising the steps of:

providing a compound having the structure:



wherein R<sub>4</sub> is an oligonucleotide, R<sub>12</sub> is an oligonucleotide and B is urea or a heterocyclic base having a corresponding glycosylase;

reacting the compound with an endonuclease to generate a conjugated  $\alpha,\beta$ -unsaturated system in the sugar 10 residue of the 3' terminal nucleotide;

reacting the compound with a pendent group containing a nucleophilic functionality thereon;

reacting the compound with a reducing agent to stabilize the compound; and

reacting the compound with an amine-containing species to add a pendent amine-containing species to said pendent group.

- 26. The method of claim 25 wherein said aminecontaining species is added to said pendent group via an 20 alkylation reaction.
  - 27. The method of claim 25 wherein said pendent group is a bifunctional linker.
  - $^{28}.$  The method of claim 25 wherein the nucleophile is  $R_{10}S^{\bar{}}$  or  $R_{10}NH^{\bar{}}$  wherein  $R_{10}$  is a said pendent group.
- 29. The method of claim 25 wherein the aminecontaining species comprises at least one nitrogen group have a free electron pair.

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30. The method of claim 25 further including adding one or more of reporter groups, alkylating agents, intercalating agents, cell receptor binding molecules, steroids, peptides, crown amines, porphyrins, or cross-linking agents to at least one of the nitrogen atoms of said amine-containing species.

- 31. The method of claim 25 wherein the endonuclease is selected from the group consisting of endonuclease III, T4:

  UV endonuclease and M. luteus UV endonuclease.
- 32. A method of preparing a compound having the structure:

wherein R<sub>4</sub> is an oligonucleotide, R<sub>5</sub> is a linear or cyclic amine-containing species containing only non-amide nitrogen atoms, and R<sub>6</sub> is H, a purine heterocycle or a pyrimidine heterocycle comprising the steps of:

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reacting an oligonucleotide having a 3' ribofuranosyl sugar with an oxidizing agent to produce an activated dialdehyde-terminated oligonucleotide; and

reacting said activated oligonucleotide with a 20 linear or cyclic amine-containing species under reducing conditions to yield said compound.

- 33. The method of claim 32 wherein the oxidizing agent is m-periodate.
- 34. The method of claim 32 wherein the amine-containing species has the formula  $H_2N[(CH_2)_nNH]_m$  wherein n is an integer between 2 and 8 and m is an integer between 1 and 10.

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35. A novel compound prepared in accordance with claim 32.

36. A method for modulating the production of a protein by an organism comprising:

contacting an organism with a compound having the structure:

wherein R<sub>1</sub> and R<sub>2</sub> are independently H, a nucleotide, an oligonucleotide or an amine-containing species and at least one of R<sub>1</sub> and R<sub>2</sub> is a purine containing oligonucleotide, R<sub>3</sub> is a linear or cyclic amine-containing species, and X is H, O-10  $R_{11}$ ,  $S-R_{11}$ , F, Cl, Br, CN,  $CF_3$ ,  $OCF_3$ , OCN,  $SOCH_3$ ,  $SO_2CH_3$ ,  $ONO_2$ , HN2, heterocylcoalkyl, heterocycloalkaryl,  $N_3$ , aminoalkylamino, polyalkylamino, substituted silyl, a reporter molecule, an RNA cleaving group, a group for improving the pharmacokinetic properties of an oligonucleotide, or a group 15 improving the pharmacodynamic properties for oligonucleotide wherein R<sub>11</sub> is H, C<sub>1</sub> to C<sub>10</sub> straight or branched chain lower alkyl or substituted lower alkyl, C, to C10 straight or branched chain lower alkenyl or substituted lower alkenyl, C3 to C10 straight or branched chain lower alkynyl or 20 substituted lower alkynyl, a 14C containing lower alkyl, lower lower alkynyl, C7 to C14 substituted or alkenyl or unsubstituted alkyaryl or aralkyl, a 14C containing C, to C1L alkaryl or aralkyl, alicyclic, heterocyclic, a reporter molecule, a RNA cleaving group, a group for improving the pharmacokinetic properties of an oligonucleotide or a group improving the pharmacodynamic properties oligonucleotide.

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- 37. The method of claim 36 wherein said compound further includes one or more of reporter groups, alkylating agents, intercalating agents, cell receptor binding molecules, steroids, peptides, crown amines, porphyrins, or cross-linking agents attached to said amine-containing species.
- 38. The method of claim 36 wherein said compound is hybridizable with an RNA coding for said protein.
- 39. The method of claim 36 wherein  $R_1$  and  $R_2$ , taken together range from about 8 to about 50 nucleotide bases in length.
  - 40. The method of claim 36 wherein  $R_1$  and  $R_2$  taken together range from about 12 to about 20 nucleotide bases in length.
- 41. A method of treating an animal having a disease

  15 characterized by undesired production of protein comprising:

  contacting an animal with a compound having the structure:

wherein R<sub>1</sub> and R<sub>2</sub> are independently H, a nucleotide, an oligonucleotide or an amine-containing species, and at least one of R<sub>1</sub> and R<sub>2</sub> is a purine containing oligonucleotide, R<sub>3</sub> is a linear or cyclic amine-containing species, and X is H, O-R<sub>11</sub>, S-R<sub>11</sub>, F, Cl, Br, CN, CF<sub>3</sub>, OCF<sub>3</sub>, OCN, SOCH<sub>3</sub>, SO<sub>2</sub>CH<sub>3</sub>, ONO<sub>2</sub>, N<sub>3</sub>, HN<sub>2</sub>, heterocylcoalkyl, heterocycloalkaryl, aminoalkylamino, polyalkylamino, substituted silyl, a reporter molecule, an RNA cleaving group, a group for improving the pharmacokinetic properties of an oligonucleotide, or a group for improving the pharmacodynamic properties of an

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oligonucleotide wherein R<sub>11</sub> is H, C<sub>1</sub> to C<sub>10</sub> straight or branched chain lower alkyl or substituted lower alkyl, C<sub>2</sub> to C<sub>10</sub> straight or branched chain lower alkenyl or substituted lower alkenyl, C<sub>3</sub> to C<sub>10</sub> straight or branched chain lower alkynyl or substituted lower alkynyl, a <sup>14</sup>C containing lower alkyl, lower alkenyl or lower alkynyl, C<sub>7</sub> to C<sub>14</sub> substituted or unsubstituted alkyaryl or aralkyl, a <sup>14</sup>C containing C<sub>7</sub> to C<sub>14</sub> alkaryl or aralkyl, alicyclic, heterocyclic, a reporter molecule, a RNA cleaving group, a group for improving the pharmacokinetic properties of an oligonucleotide or a group for improving the pharmacodynamic properties of an oligonucleotide.

- 42. The method of claim 41 wherein said compound further includes one or more of reporter groups, alkylating agents, intercalating agents, cell receptor binding molecules, steroids, peptides, crown amines, porphyrins, or cross-linking agents attached to said amine-containing species.
  - 43. The method of claim 41 wherein said compound is hybridizable with an RNA coding for said protein.
- 44. The method of claim 41 wherein  $R_1$  and  $R_2$ , taken together range from about 8 to about 50 nucleotide bases in length.
- 45. The method of claim 41 wherein  $R_1$  and  $R_2$  taken together range from about 12 to about 20 nucleotide bases in length.
  - 46. A method for detecting the presence or absence of an RNA in a biological sample suspected of containing said RNA comprising:

contacting a sample with a compound having the 30 structure:

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wherein R<sub>1</sub> and R<sub>2</sub> are independently H, a nucleotide, an oligonucleotide or an amine-containing species, and at least one of R<sub>1</sub> and R<sub>2</sub> is a purine containing oligonucleotide, R<sub>3</sub> is a linear or cyclic amine-containing species, and X is H, O- $R_{11}$ ,  $S-R_{11}$ , F, Cl, Br, CN,  $CF_3$ ,  $OCF_3$ , OCN,  $SOCH_3$ ,  $SO_2CH_3$ ,  $ONO_2$ , heterocylcoalkyl, heterocycloalkaryl, aminoalkylamino, polyalkylamino, substituted silyl, a reporter molecule, an RNA cleaving group, a group for improving the pharmacokinetic properties of an oligonucleotide, or a group for improving the pharmacodynamic properties oligonucleotide wherein R<sub>11</sub> is H, C<sub>1</sub> to C<sub>10</sub> straight or branched chain lower alkyl or substituted lower alkyl, C, to C10 straight or branched chain lower alkenyl or substituted lower alkenyl, C3 to C10 straight or branched chain lower alkynyl or substituted lower alkynyl, a 14C containing lower alkyl, lower alkynyl, C<sub>7</sub> to C<sub>14</sub> substituted lower or unsubstituted alkyaryl or aralkyl, a 14C containing C7 to C14 alkaryl or aralkyl, alicyclic, heterocyclic, a reporter molecule, a RNA cleaving group, a group for improving the pharmacokinetic properties of an oligonucleotide or a group improving the pharmacodynamic properties of for oligonucleotide; said compound being specifically hybridizable with said RNA; and

detecting the presence or absence of hybridization of the compound to the sample wherein hybridization is indicative of the presence of RNA in the sample.

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- 47. The method of claim 46 wherein said compound further includes one or more of reporter groups, alkylating agents, intercalating agents, cell receptor binding molecules, steroids, peptides, crown amines, porphyrins, or cross-linking agents attached to said amine-containing species.
- 48. The method of claim 46 wherein  $R_1$  and  $R_2$ , taken together range from about 8 to about 50 nucleotide bases in length.
- 49. The method of claim 46 wherein  $R_1$  and  $R_2$  taken together range from about 12 to about 20 nucleotide bases in length.
  - 50. A method for modulating the production of a protein by an organism comprising:

contacting an organism with a compound having the structure:

wherein  $R_4$  is an oligonucleotide and M is a pendent group having an amine-containing species attached thereto.

- 51. The method of claim 50 wherein the compound further includes one or more of reporter groups, alkylating agents, intercalating agents, cell receptor binding molecules, steroids, peptides, crown amines, porphyrins, or cross-linking agents attached to said amine-containing species.
  - 52. The method of claim 50 wherein said compound is hybridizable with an RNA coding for said protein.

- 53. The method of claim 50 wherein  $R_4$  ranges from about 8 to about 50 nucleotide bases in length.
- 54. The method of claim 50 wherein  $R_4$  ranges from about 12 to about 20 nucleotide bases in length.
- 55. A method of treating an animal having a disease characterized by undesired production of protein comprising: contacting an animal with a compound having the structure:

wherein R, is an oligonucleotide and M is a pendent group having an amine-containing species attached thereto, in a pharmaceutically acceptable carrier.

- 56. The method of claim 55 wherein said compound further includes one or more of reporter groups, alkylating agents, intercalating agents, cell receptor binding molecules, steroids, peptides, crown amines, porphyrins, or cross-linking agents attached to said amine-containing species.
  - 57. The method of claim 55 wherein said compound is hybridizable with an RNA coding for said protein.
- 58. The method of claim 55 wherein  $R_4$  ranges from 20 about 8 to about 50 nucleotide bases in length.
  - 59. The method of claim 55 wherein  $R_4$  ranges from about 12 to about 20 nucleotide bases in length.

60. A method for detecting the presence or absence of an RNA in a biological sample suspected of containing said RNA comprising:

contacting a sample with a compound having the 5 structure:

wherein  $R_4$  is an oligonucleotide and M is a pendent group having an amine-containing species attached thereto; said compound being specifically hybridizable with said RNA; and

detecting the presence or absence of hybridization of the compound to the sample wherein hybridization is indicative of the presence of RNA in the sample.

- 61. The method of claim 60 wherein said compound further includes one or more of reporter groups, alkylating agents, intercalating agents, cell receptor binding molecules, steroids, peptides, crown amines, porphyrins, or cross-linking agents attached to said amine-containing species.
  - 62. The method of claim 60 wherein  $R_4$  ranges from about 8 to about 50 nucleotide bases in length.
- 63. The method of claim 60 wherein  $R_4$  ranges from 20 about 12 to about 20 nucleotide bases in length.
  - 64. A method for modulating the production of a protein by an organism comprising:

contacting an organism with a compound having the structure:

wherein  $R_4$  is an oligonucleotide,  $R_5$  is a linear or cyclic amine-containing species containing only non-amide nitrogen atoms, and  $R_6$  is H, a purine heterocycle or a pyrimidine heterocycle.

- 5 65. The method of claim 64 wherein said compound further includes one or more of reporter groups, alkylating agents, intercalating agents, cell receptor binding molecules, steroids, peptides, crown amines, porphyrins, or cross-linking agents attached to said amine-containing species.
- 10 66. The method of claim 64 wherein said compound is hybridizable with an RNA coding for said protein.
  - 67. The method of claim 64 wherein  $R_4$  and  $R_6$  taken together range from 8 to about 50 nucleotide bases in length.
- 68. The method of claim 64 wherein  $R_4$  and  $R_6$  taken 15 together range from 12 to about 20 nucleotide bases in length.
  - 69. A method of treating an animal having a disease characterized by undesired production of protein comprising contacting the animal with a compound having the structure:

wherein  $R_4$  is an oligonucleotide,  $R_5$  is a linear or cyclic amine-containing species containing only non-amide nitrogen atoms, and  $R_6$  is H, a purine heterocycle or a pyrimidine heterocycle, in a pharmaceutically acceptable carrier.

- 70. The method of claim 69 wherein said compound further includes one or more of reporter groups, alkylating agents, intercalating agents, cell receptor binding molecules, steroids, peptides, crown amines, porphyrins, or cross-linking agents attached to said amine-containing species.
  - 71. The method of claim 69 wherein said compound is hybridizable with an RNA coding for said protein.
  - 72. The method of claim 69 wherein  $R_4$  and  $R_6$  taken together range from 8 to about 50 nucleotide bases in length.
- 10 73. The method of claim 69 wherein  $R_4$  and  $R_6$  taken together range from 12 to about 20 nucleotide bases in length.
  - 74. A method for detecting the presence or absence of an RNA in a biological sample suspected of containing said RNA comprising:
- contacting the sample with a compound having the structure:

wherein R<sub>4</sub> is an oligonucleotide, R<sub>5</sub> is a linear or cyclic amine-containing species containing only non-amide nitrogen atoms, and R<sub>6</sub> is H, a purine heterocycle or a pyrimidine heterocycle; said compound being specifically hybridizable with RNA; and

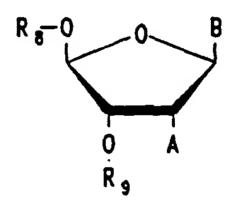
detecting hybridization of the compound to the sample where the presence or absence of hybridization is indicative of the presence of said RNA in the sample.

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75. The method of claim 74 wherein said compound further includes one or more of reporter groups, alkylating agents, intercalating agents, cell receptor binding molecules, steroids, peptides, crown amines, porphyrins, or cross-linking agents attached to said amine-containing species.

- 76. The method of claim 74 wherein  $R_4$  and  $R_6$  taken together range from 8 to about 50 nucleotide bases in length.
- 77. The method of claim 74 wherein  $R_4$  and  $R_6$  taken together range from 12 to about 20 nucleotide bases in length.
- 78. A method for modulating the production of a protein by an organism comprising:

contacting an organism with a compound having the structure:



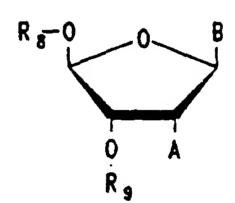
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wherein B is a purine or pyrimidine heterocycle, R<sub>8</sub> and R<sub>9</sub> are independently H, PO<sub>2</sub>, a nucleotide, an oligonucleotide or an amine-containing species, and at least one of R<sub>8</sub> and R<sub>9</sub> is a purine containing oligonucleotide, and at least one of R<sub>8</sub>, R<sub>9</sub> and A is a species comprising the formula L<sub>1</sub>-L<sub>2</sub>-polyamine wherein L<sub>1</sub> is an amino linker and L<sub>2</sub> is a heterobifunctional linker;

wherein if  $R_8$  is not a purine containing oligonucleotide or polyamine species, then  $R_8$  is a nucleotide or  $PO_2$ ; if  $R_9$  is not a purine containing oligonucleotide or polyamine species, then  $R_9$  is H or a nucleotide; and if A is not a polyamine species then A is H or OH.

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- 79. The method of claim 78 wherein said compound further includes one or more of reporter groups, alkylating agents, intercalating agents, cell receptor binding molecules, steroids, peptides, crown amines, porphyrins, or cross-linking agents attached to said amine-containing species.
- 80. The method of claim 78 wherein said compound is hybridizable with an RNA coding for said protein.
- 81. The method of claim 78 wherein  $R_8$  and  $R_9$  taken together range from about 8 to about 50 nucleotide bases in length.
  - 82. The method of claim 79 wherein  $R_8$  and  $R_9$  taken together range from about 12 to about 20 nucleotide bases in length.
- 83. A method of treating an animal having a disease
  15 characterized by undesired production of protein comprising:
  contacting an animal with a compound having the
  structure:



wherein B is a purine or pyrimidine heterocycle,  $R_8$  and  $R_9$  are independently H,  $PO_2$ , a nucleotide, an oligonucleotide or an amine-containing species, and at least one of  $R_8$  and  $R_9$  is a purine containing oligonucleotide, and at least one of  $R_8$ ,  $R_9$  and A is a species comprising the formula  $L_1-L_2$ -polyamine wherein  $L_1$  is an amino linker and  $L_2$  is a heterobifunctional linker, in a pharmaceutically acceptable carrier; and

wherein if  $R_8$  is not a purine containing oligonucleotide or polyamine species, then  $R_8$  is a nucleotide or  $PO_2$ ; if  $R_9$  is not a purine containing oligonucleotide or

polyamine species, then  $R_9$  is H or a nucleotide; and if A is not a polyamine species then A is H or OH.

- 84. The method of claim 83 wherein said compound further includes one or more of reporter groups, alkylating agents, intercalating agents, cell receptor binding molecules, steroids, peptides, crown amines, porphyrins, or cross-linking agents attached to said amine-containing species.
- 85. The method of claim 83 wherein said compound is hybridizable with an RNA coding for said protein.
- 10 86. The method of claim 83 wherein  $R_8$  and  $R_9$  taken together range from about 8 to about 50 nucleotide bases in length.
- 87. The method of claim 83 wherein  $R_8$  and  $R_9$  taken together range from about 12 to about 20 nucleotide bases in length.
  - 88. A method for detecting the presence or absence of an RNA in a biological sample suspected of containing said RNA comprising:

contacting a sample with a compound having the 20 structure:

wherein B is a purine or pyrimidine heterocycle,  $R_8$  and  $R_9$  are independently H,  $PO_2$ , a nucleotide, an oligonucleotide or an amine-containing species, and at least one of  $R_8$  and  $R_9$  is a purine containing oligonucleotide, and at least one of  $R_8$ ,  $R_9$  and A is a species comprising the formula  $L_1-L_2$ -polyamine

wherein  $L_1$  is an amino linker and  $L_2$  is a heterobifunctional linker; and

wherein if R<sub>8</sub> is not a purine containing oligonucleotide or polyamine species, then R<sub>8</sub> is a nucleotide or PO<sub>2</sub>; if R<sub>9</sub> is not a purine containing oligonucleotide or polyamine species, then R<sub>9</sub> is H or a nucleotide; and if A is not a polyamine species then A is H or OH; said compound being specifically hybridizable with said RNA; and

detecting the presence or absence of hybridization of the compound to the sample wherein hybridization indicative of the presence of RNA in the sample.

- 89. The method of claim 88 wherein said compound further includes one or more of reporter groups, alkylating agents, intercalating agents, cell receptor binding molecules, steroids, peptides, crown amines, porphyrins, or cross-linking agents attached to said amine-containing species.
  - 90. The method of claim 88 wherein  $R_8$  and  $R_9$  taken together range from about 8 to about 50 nucleotide bases in length.
- 91. The method of claim 88 wherein  $R_8$  and  $R_9$  taken together range from about 12 to about 20 nucleotide bases in length.

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# CONJUGATION CHEMISTRY USING URACIL-DNA GLYCOSYLASE

FIGURE 1

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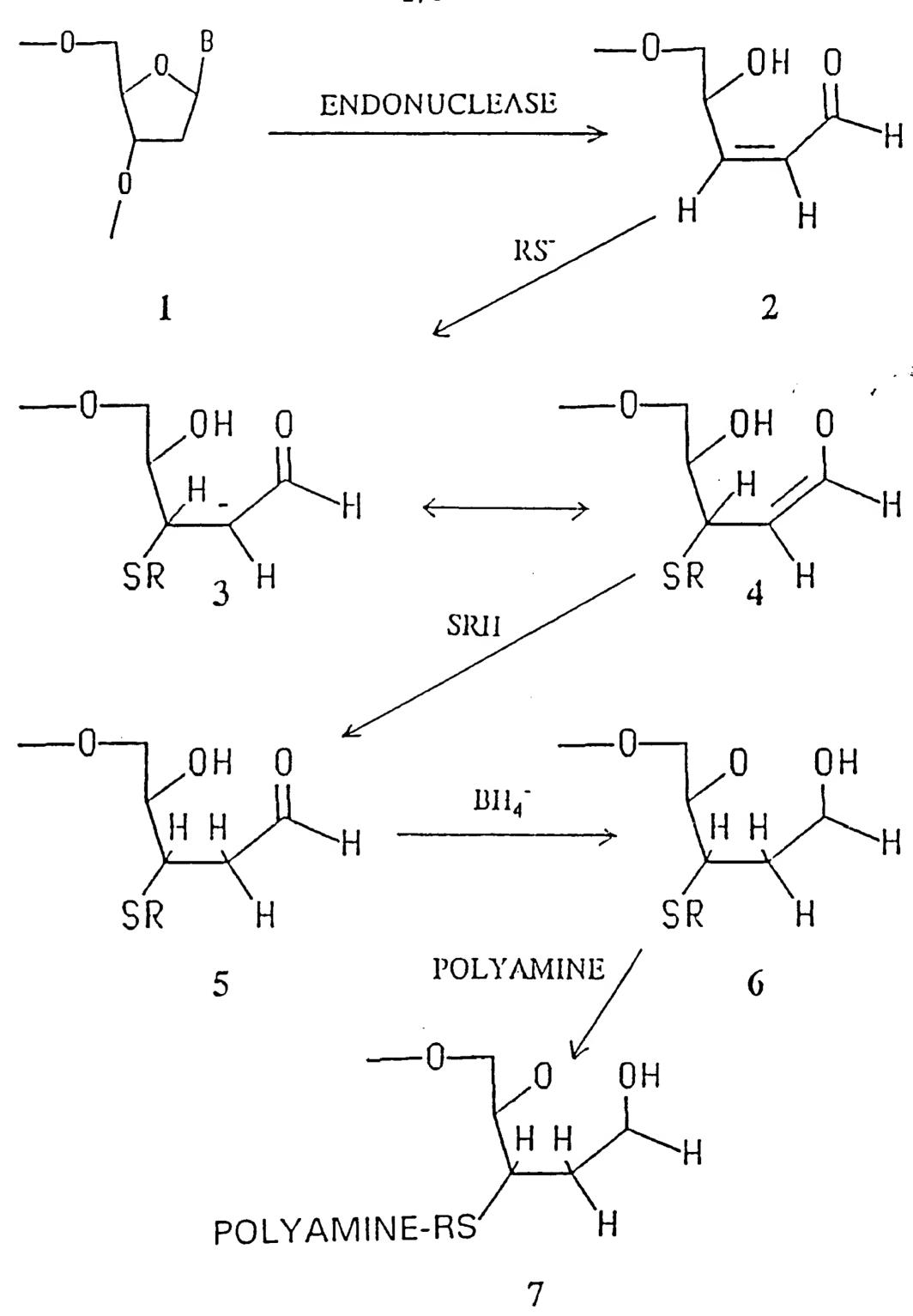
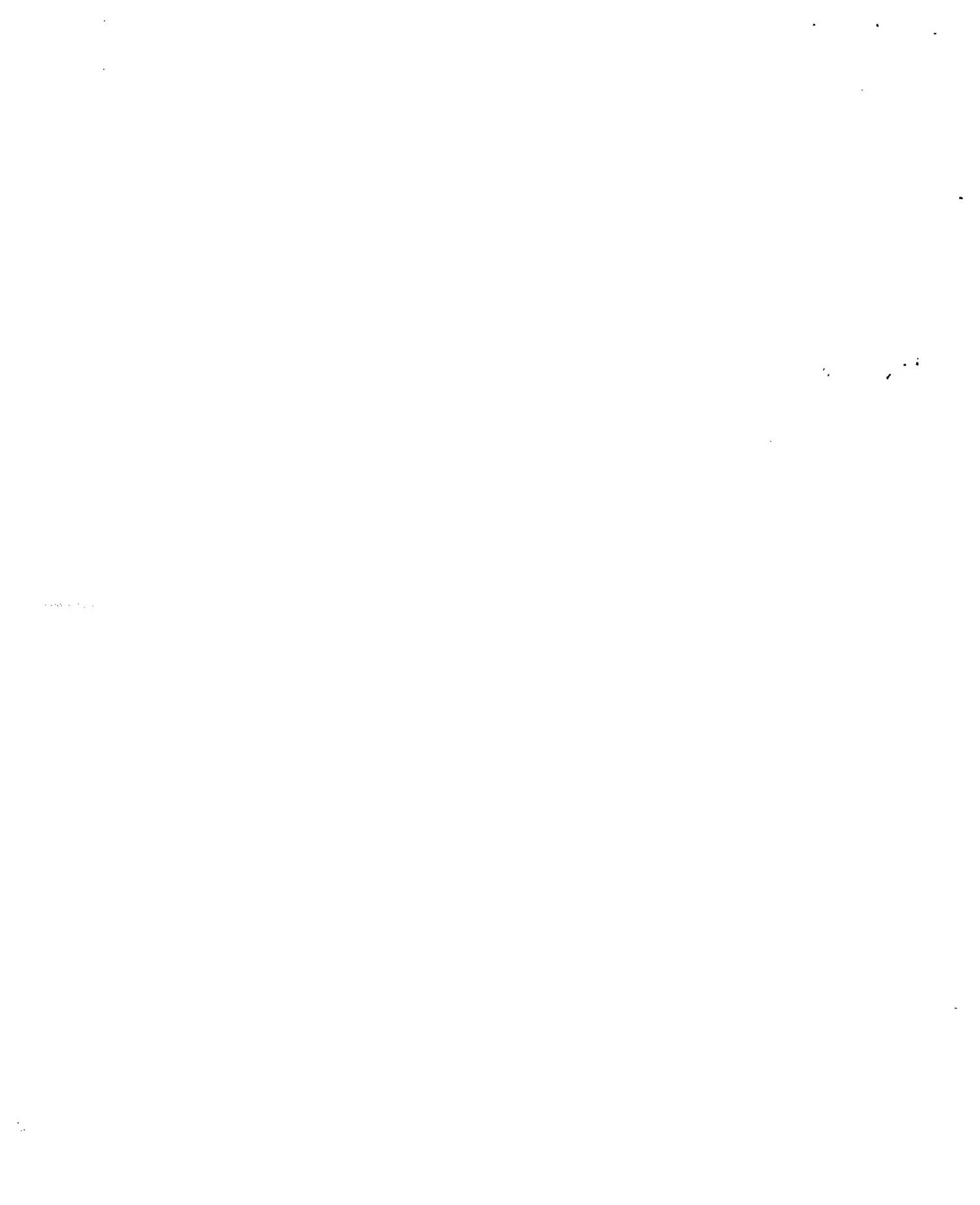
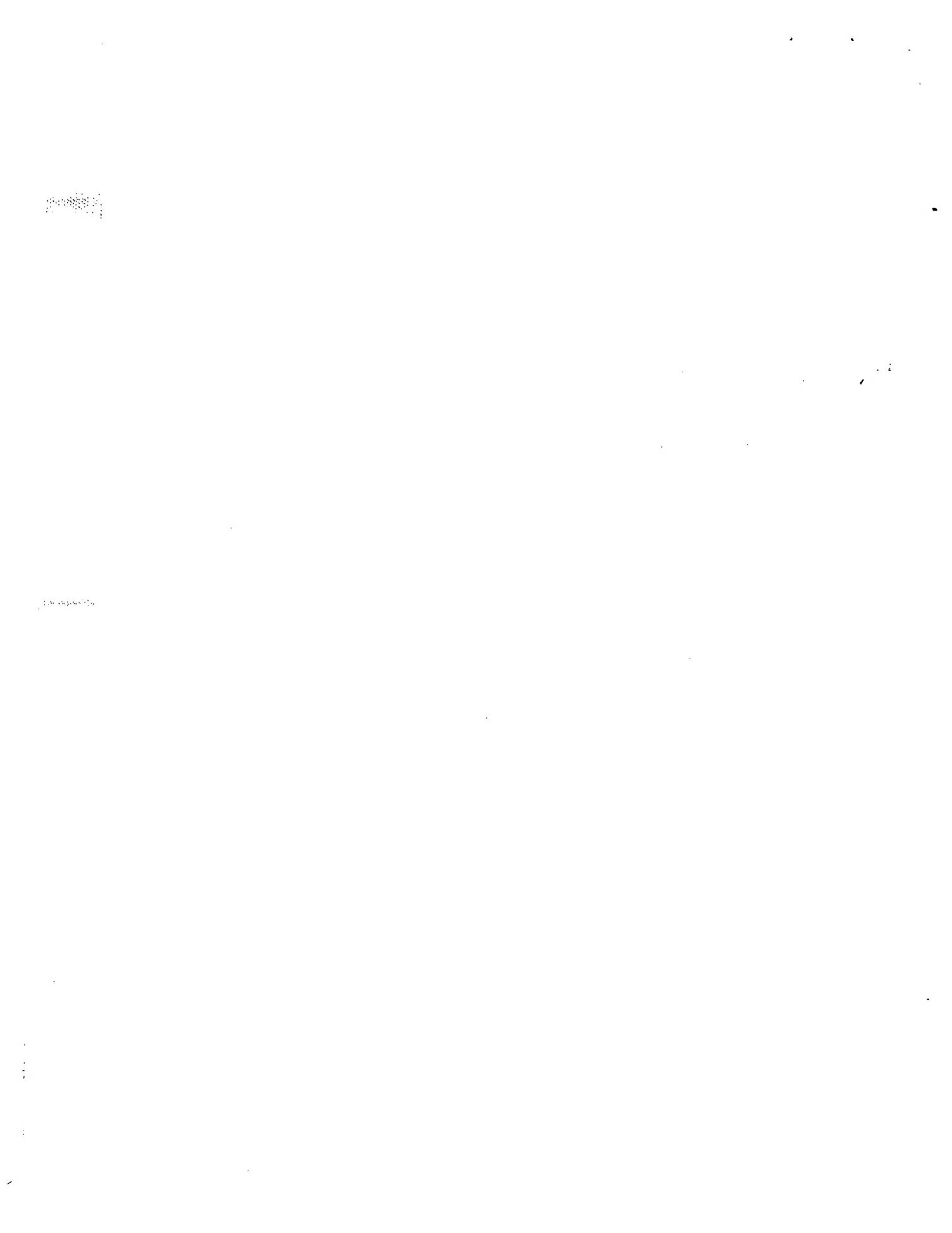


FIGURE 2



## 3'- OLIGO POLYAMINE CONJUGATION



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International application No. PCT/US93/08367

A. CLA	SSIFICATION OF SUBJECT MATTER			
	:C07H 21/04; C12Q 1/68; A61K 48/00			
US CL	:435/6; 536/23.1, 24.3, 24.5; 514/44 to International Patent Classification (IPC) or to both	national classification and IPC		
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Minimum d	locumentation searched (classification system followed	by classification symbols)		
<b>U.S.</b> :	435/6; 536/23.1, 24.3, 24.5; 514/44			
Documentat	tion searched other than minimum documentation to the	extent that such documents are include	ed in the fields searched	
Electronic d	data base consulted during the international search (na	ime of data base and, where practicab	e, search terms used)	
Please Sea	e Extra Sheet.			
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C. DOC	CUMENTS CONSIDERED TO BE RELEVANT			
Cetegory*	Citation of document, with indication, where ar	populate, of the relevant passages	Relevant to claim No.	
Category*	Chadon of Goodinent, with midication, where a	-brokered or min totaling bannaban		
Y	Journal of Biochemistry, Volume 101, issued 1987, H. Sawai et al, "Synthesis and Biological Activities of $\beta$ -Alanyltyrosine Derivative		11-14, 32-35, 64-	
•			•	
	of 2',5'-Oligoadenylate, and Its Use		1	
	2',5'-Oligoadenylate", pages 339-346,	especially paragraph bridging	<b>;</b>	
	pages 340-341 and Figure 1.			
Y	Journal of Biochemistry, Volume 98,		•	
	"Sensitive Radioimmuno Assay for 2	-	1	
	Novel <sup>125</sup> I-Labeled Derivative		1	
	Triphosphate", pages 999-1005, espe	cially page 1000, third full	L	
	paragraph and Figure 1.			
Y Furth	ner documents are listed in the continuation of Box C	See patent family annex.		
	ecial categories of cited documents:	*T* later document published after the i	nternational filing data or priorsy	
-•	current defining the general state of the art which is not considered	date and not in conflict with the app principle or theory underlying the i	lication but cited to underwand the	
to	be part of particular relevance	"X" document of particular relevance;		
E carrier document published on or after the internanousl filing date		considered povel or cannot be cons when the document is taken alone	dered to involve an investive step	
cit	cument which may throw doubts on priority claim(s) or which is ed to establish the publication date of another citation or other	"Y" document of particular relevance;	the claimed invention cannot be	
•	ecial reason (as specified) cument referring to an oral disclosure, use, exhibition or other	considered to involve an inventi combined with one or more other s	ve step when the document is	
500		being obvious to a person skilled in	the art	
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Date of the actual completion of the international search		Date of mailing of the international s	earch report	
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Name and r	nailing address of the ISA/US	Authorized officer	no Non	
Commissioner of Patents and Trademarks Box PCT		DAVID SCHREIBER 21-21136		
Washington, D.C. 20231		Telephone No. (703) 308-0196		
Facsimile No. NOT APPLICABLE		1 elephone 140. (703) 308-0190		

International application No. PCT/US93/08367

Catagogus	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Category*	Clustion of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	Methods in Enzymology, Volume 18, Pt. B, issued 1971, W. Fory et al, "Chemical Synthesis of Flavin Coenzymes", pages 458-464, especially Figures VII and VIII.	1-10, 36-63
Y	Pharmaceutical Research, Volume 5. No. 9, issued 1988, G. Zon, "Oligonucleotide Analogues as Potential Chemotherapeutic Agents", pages 539-549, entire document.	36-91
Y	Bioconjugate Chemistry, Volume 1, Number 3, issued May/June 1990, J. Goodchild, "Conjugates of Oligonucleotides and Modified Oligonucleotides: A Review of their Synthesis and Properties", pages 165-187, entire document.	11-14, 36-91
Y	US, A, 5,034,506 (Summerton et al) 23 July 1991, claim 3.	11-14, 32-35, 64- 77
Y	Mutation Research, Volume 236, issued 1990, P. W. Doetsch et al, "The enzymology of apurinic/apyrimidinic endonucleases", pages 173-201, especially Figure 3 and page 177, first full paragraph.	25-31
Y	Nucleosides & Nucleotides, Volume 10, Numbers 1-3, issued 1991, JJ. Vasseur et al, "Derivatization of Oligonucleotides through Abasic Site Formation", pages 107-117, especially Figures 3 and 4.	25-31
Y	Proceedings of the National Academy of Sciences USA, Volume 86, issued September 1989, R. L. Letsinger et al, "Cholesteryl-conjugated oligonucleotides: Synthesis, properties, and activity as inhibitors of replication of human immunodeficiency virus in cell culture", pages 6553-6656, entire document.	4, 9, 13, 17, 30, 37, 42, 47, 51, 56, 61, 65, 70, 75, 79, 84, 89
Y	Journal of the American Chemical Society, Volume 110, issued 1988, M. Manoharan et al, "Mechanism of UV Endonuclease V Cleavage of Abasic Sites in DNA Determined by <sup>13</sup> C Labeling", pages 2690-2691, especially Scheme I.	25-31
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Box I Observations where certain claims were found unsearchable (Continuation f item 1 of first sheet)
This international report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:
1. Claims Nos.: because they relate to subject matter not required to be searched by this Authority, namely:
2. Claims Nos.: because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:
3. Claims Nos.: because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).
Box II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)
This International Searching Authority found multiple inventions in this international application, as follows:  (Form PCT/ISA/206 Previously Mailed.)  Please See Extra Sheet.
1. X As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims.
2. As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3. As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims for which fees were paid, specifically claims Nos.:
No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:
Remark on Protest  The additional search fees were accompanied by the applicant's protest.  X  No protest accompanied the payment of additional search fees.

L. Sharks S. S.

International application No. PCT/US93/08367

#### **B. FIELDS SEARCHED**

SAR TOUR ESTABLES CONTRACTOR

Electronic data bases consulted (Name of data base and where practicable terms used):

### CAS ONLINE, MEDLINE, APS, BIOSIS, WPI,

search terms: structure search, dialdehyde, periodate, amine, amino, polyamine, morpholino, nucleic, DNA, RNA, ribonucleic, deoxyribonucleic, heterobifunctional, crown amine, steroids, porphyrins, nucleotide, nucleoside, oligonucleotide, detection, productin, modulate, protein

# BOX II. OBSERVATIONS WHERE UNITY OF INVENTION WAS LACKING This ISA found multiple inventions as follows:

- I. Claims 1-5, 19-24, and 36-45, drawn to a compound having the structure shown in independent claim 1, a method for making this compound, and a method for modulating the production of a protein by an organism using this compound, classified in Class 536, subclass 23.1, for example;
- II. Claims 46-49, drawn to a method for detecting the presence or absence of an RNA in a biological sample using a compound having the structure shown in independent claim 1, classified in Class 435, subclass 6;
- III. Claims 6-10, 25-31, and 50-59, drawn to a compound having the structure shown in independent claim 6, a method for making this compound, and a method for modulating the production of a protein by an organism using this compound, classified in Class 536, subclass 23.1, for example;
- IV. Claims 60-63, drawn to a method for detecting the presence or absence of an RNA in a biological sample using a compound having the structure shown in independent claim 6, classified in Class 435, subclass 6;
- V. Claims 11-14, 32-35, and 64-73, drawn to a compound having the structure shown in independent claim 11, a method for making this compound, and a method for modulating the production of a protein by an organism using this compound, classified in Class 536, subclass 23.1, for example;
- VI. Claims 74-77, drawn to a method for detecting the presence or absence of an RNA in a biological sample using a compound having the structure shown in independent claim 11, classified in Class 435, subclass 6;
- VII. Claims 15-18 and 78-87, drawn to a compound having the structure shown in independent claim 15 and a method for modulating the production of a protein by an organism using this compound, classified in Class 536, subclass 23.1, for example;
- VIII. Claims 88-91, drawn to a method for detecting the presence or absence of an RNA in a biological sample using a compound having the structure shown in independent claim 15, classified in Class 435, subclass 6